

IN THE  
SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1975

\* \* \*  
NO. **78-60**

\* \* \*

DOLPH BRISCOE, GOVERNOR OF THE STATE  
OF TEXAS and MARK WHITE, SECRETARY OF  
STATE OF TEXAS,

*Petitioner*

V.

EDWARD H. LEVI, ATTORNEY GENERAL  
OF THE UNITED STATES, ET AL,

*Respondents*

\* \* \*

PETITION FOR WRIT OF CERTIORARI  
TO THE UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA

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Supreme Court, U. S.  
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The Petitioners, Dolph Briscoe, Governor of the State of Texas, and Mark White, Secretary of State of Texas, respectfully pray that a writ of certiorari issue to review the judgment and opinion of the United States Court of Appeals for the District of Columbia entered in this cause on April 19, 1976.

OPINION BELOW

The opinion of the Court of Appeals is not yet reported; however a copy is appended hereto as Appendix "A". The opinion of the United States District Court for the District of Columbia is not reported; a copy of the



decision, which was delivered orally, is attached as Appendix "B", together with the Court's written order granting summary judgment against Petitioner-Plaintiffs.

### JURISDICTION

The opinion of the Court of Appeals was entered on April 19, 1976, (See Appendix "A"). A copy of the judgment of that Court is attached as Appendix "C".

This Court's jurisdiction is invoked under 28 U.S.C., §1254 (1).

### QUESTIONS PRESENTED

1. Whether the Voting Rights Act of 1965 was improperly construed and interpreted by the Bureau of the Census, the Attorney General of the United States, the federal District Court and the Court of Appeals so that the State of Texas was erroneously determined to be subject to the Act?

2. Whether the Voting Rights Act of 1965, as construed and interpreted, is "appropriate legislation" to enforce and protect the privileges and immunities guaranteed by the Fourteenth Amendment?

### CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

The Voting Rights Act of 1965, as amended, codified as 42 U.S.C., §1973, et seq., is involved and forms the central core around which revolve the questions and issues presented by this Petition. The pertinent sections of the Act, as they affect this cause, are set out in the body of the Petition where relevant to Petitioner's contentions.

The Tenth and Fourteenth Amendments to the

United States Constitution are peripherally involved and are mentioned and discussed where pertinent to Petitioner's argument.

### STATEMENT OF THE CASE

The Voting Rights Act of 1965, Public Law 89-10, as amended by Public Law 91-285 [42 U.S.C. §1973 et seq.] applied certain sanctions to those states or political subdivisions found to be within its coverage. The test, stated in Section 4(b) was as follows:

"The provisions of subsection (a) shall apply in any State or any political subdivision of a State which (1) the Attorney General determines maintained on November 1, 1964, any test or device, and with respect to which (2) the Director of the Census determined that less than 50 per centum of the persons of voting age residing therein were registered on November 1, 1964, or that less than 50 per centum of such persons voted in the presidential election of November, 1964. . . . "

The Act did not apply to the State of Texas under that test.

The 1975 amendments to the Voting Rights Act of 1965 (Public Law 94-73) added to Section 4(b) the following additional language:

"On or after August 6, 1975, in addition to any state or political subdivision of a State determined to be subject to subsection (a) pursuant to the previous two sentences, the provisions of subsection (a) shall apply in any State or any political subdivision of a State which (i) the Attorney General determines maintained on November 1, 1972 any test or

device and with respect to which (ii) the Director of the Census determines that less than 50 per centum of the citizens of voting age were registered on November 1, 1972, or that less than 50 per centum of such persons voted in the presidential election of November, 1972."

The 1975 amendments also added Section 4(f)(3) as follows:

"In addition to the meaning given the term under section 4(c), the term 'test or device' shall also mean any practice or requirement by which any State or political subdivision provided any registration or voting notices, forms, instructions, assistance or other materials or information relating to the electoral process including ballots, only in the English language where the Director of the Census determined that more than 5 per centum of the citizens of voting age residing in such State or political subdivision are members of a single language minority. . . . ."

Thus, before the Voting Rights Act of 1975 might be deremined to be applicable to the State of Texas, it was necessary that a number of determinations be made by the Attorney General or the Director of the Census including:

(a) That five percent of the State's citizens were members of a single language minority;

(b) That the State had a "test or device" which inhibited minority voting with voting procedures and voting materials produced only in the English language; and

(c) That the Director of the Census determine that

less than fifty per centum of the citizens of voting age were registered on November 1, 1972 or that less than fifty per centum of such persons voted in the presidential election of November, 1972.

Texas has not contested that the first of the conditions is met since Texas has, prior to the amendment of the Voting Rights Act of 1975, adopted legislation calling for bi-lingual materials in elections in any of its counties in which five percent or more of the inhabitants are persons of Spanish origin or descent. [See Senate Bill 165, attached as Exhibit G to the Affidavit of Mark White, attached to Plaintiff's Original Complaint; Appendix to the Briefs on file in the Court of Appeals, page 32, hereinafter refered "C.A. Appendix \_\_\_\_"].

Texas, however, has consistently urged that, if the second and third requirements are properly construed and if proper figures are used, Texas is not covered. The affidavit and the testimony of Appellant White, the chief election officer of the State, shows that as early as July 14, 1975 more than three weeks prior to the effective date of the 1975 amendments, he urged the Director of the Census to grant him a hearing so that proper figures might be used. Other requests were made of the Director of the Census as well as of the Attorney General of the United States. It has been the consistent position of Texas that (1) more than fifth made of the Director of the Census as well as of the Attorney General of the United States. It has been the consistent position of Texas that (1) more than fifty percent of the citizens of Texas of voting age were registered on November 1, 1972: if the Bureau of the Census would not count, as citizens, aliens, both legal and illegal, and if it would not count as those of voting age persons who, by the laws of the State of Texas are not eligible to vote including non-residents and persons who are mentally incompetent; (2) that more than fifty per-



cent of the persons registered to vote on November 1, 1972, did in fact vote in the presidential election of November, 1972 and that a proper interpretation of the statute calls for such a determination rather than a determination of whether more than fifty percent of citizens of voting age voted in the presidential election; (3) that the two requirements of fifty percent being registered and fifty percent voting are mutually exclusive, the one intended to cover those states where voters were registered and the other intended to cover those states where voters were not registered and that Texas, having a registration law, was only required to establish that more than fifty percent of its citizens of voting age were registered; (4) that the Attorney General could not properly certify that Texas used a "test or device" since Texas eliminated any possible effect of its use of a "test or device" (English-only elections) by earlier passing its bilingual election act; and (5) that Texas was entitled to a hearing and to an opportunity to present evidence to the Bureau of the Census before its determinations were made.

This suit was brought prior to the publication by the Attorney General of the United States or the Director of the Census of any findings made by them with reference to the applicability of the Act to the State of Texas. The action was brought seeking a declaratory judgment pursuant to Sections 2201 and 2202 of Title 28 of the United States Code (the matter in controversy exceeding the sum or value of \$10,000 exclusive of interest and costs) with a prayer that the District Court restrain Census and the Attorney General from publishing any determination concerning the State of Texas in the Federal Register until the issues presented by the suit were finally resolved.

The suit was filed on September 8, 1975. On September 12, the case was before the Honorable

Gerhard Gesell, United States District Judge, for a hearing on the Plaintiffs' Motion for Temporary Restraining Order. The Court, at the conclusion of the evidence, filed its oral ruling finding that there was a genuine case or controversy over which it had jurisdiction, "both because of the nature of the Federal question and exercising the authority presented to the Court in the Declaratory Judgment statute" but denying all relief to Plaintiff-Petitioners. The Court granted Defendant's Motion for Summary Judgment, without notice of any setting or following other procedures called for by Rule 56 of the Federal Rules of Civil Procedure, and dismissed the Complaint.

Plaintiff-Petitioners appealed the Judgment to the United States Court of Appeals for the District of Columbia. That Court affirmed the Judgment on April 19, 1976, and Plaintiff-Petitioners now present their Petition for Writ of Certiorari.

### REASONS FOR GRANTING THE WRIT

The determinations by the United States District Court and the United States that Texas is included within the ambit of the Voting Rights Act of 1964 (as amended in August in 1975) have already visited serious and costly consequences on the State of Texas. At least eight (8) lawsuits, attacking Texas legislative enactments, have been filed by private persons in the federal courts of Texas under the provisions of the Act (see the list of cases in Appendix "D"), and the Attorney General of the United States has objected to twenty-three (23) legislative provisions of Texas and its political subdivisions, asserting some impact upon minority voting in each (see the newspaper account attached as Appendix "E"). The threat to the sovereignty of Texas is

real, and the impact upon its governing processes is serious. This Court should accordingly grant certiorari to pass upon the decisions of the United States District Court and the Court of Appeals which have applied the Voting Rights Act to Texas. The Courts below erred for two reasons:

I. THE THRESHOLD STATUTORY PROVISIONS USED TO TRIGGER THE INCLUSION OF A STATE WITHIN THE AMBIT OF THE VOTING RIGHTS ACT WERE ERRONEOUSLY INTERPRETED BY THE COURTS BELOW.

1. *Census And The Court Below Misinterpreted The Statutory Duty Of Census In Determining Coverage By The Voting Rights Act.*

As prerequisite to the inclusion of a State within the provisions of the Voting Rights Act, the Bureau of the Census, acting under the authority of 4(b) of the Act, [42 U.S.C., §1973b(b)] must determine:

"that less than 50 per centum of the persons of voting age were registered on November 1, 1972,

or

that less than 50 per centum of such persons voted in the presidential election of November, 1972."

As interpreted by Census and Justice (and the Courts below), only the last clause is given effect, and the first clause is disregarded since the percent of those voting could never be greater than the percent of those

registering, absent illegal voting by unregistered voters. No logical, legal reason exists for indulging in such an interpretation.

The most basic and fundamental rule of statutory construction requires an interpretation which will give meaning and effect to every word, clause and sentence of a legislative enactment. *States v. Menasche*, 348 U.S. 528 (1955); 2A Sutherland, *Statutory Construction*, §46.06 (4th Ed. 1973).

An interpretation which satisfies this elementary rule of construction would require Census to determine that less than fifty percent of the citizens of voting age were registered to vote. If there were fewer than fifty percent, the determination by Census is complete; and a state is included within the term of the Voting Rights Act if Justice makes the further required determination that the state has a "test or device" "for the purpose or with the effect of denying or abridging the right to vote . . . ." If more than fifty percent of voting age citizens are registered, then Census must make the further finding did "less than fifty percent of such persons" (i.e., those registered to vote) vote in the presidential election of November, 1972. If less than fifty percent of the registered voters actually voted, then inclusion is indicated if the further, required determination by the Attorney General is made. If more than fifty percent voted, then there can be no coverage under the Act.

In 1972, Texas had 7,514,343 citizens of voting age (a Census figure disputed by Appellants but accepted arguendo as true here) (C.A. Appendix 133, 154). 5,200,000 persons registered to vote (C.A. Appendix 153, 154), or roughly sixty-eight percent of the "citizens of voting age" registered. In the November, 1972 presidential election, 3,472,714 persons voted, roughly



sixty-seven percent of those persons registered to vote. (C.A. Appendix 133, 153).

Alternatively, the fifty percent registered or fifty percent voting clauses could have been intended by Congress to provide alternative tests where some states have voter registration laws and others do not. The first part of the clause--did fifty percent register--would serve to include or exclude states with voter registration laws from coverage. The second portion of the clause--did fifty percent vote--would be applicable only where a particular state has or had no registration law.

Either of the suggested interpretations is more logical and more in keeping with the rules of statutory interpretation than that adopted by Census and Justice, and either interpretation suggested would exempt Texas from coverage under the Voter Rights Act.

Indeed, the Court of Appeals in its opinion below initially indicated agreement with Petitioners' first-suggested interpretation (to give effect to the entire sentence setting out the duties of Census) when the Court observed at page 24 of its opinion:

"At the outset, appellants would seem to have the better argument. It is a rule of statutory construction that legislative enactments be so construed as to give effect to all parts."

However, the Court of Appeals then rejected this elementary cause of construction and erroneously, by and through its application of legislative history, decided that Congress had intended for only the second clause (a determination *only* of whether fifty percent of persons of voting age did vote in the applicable presidential election) to be operative and provide the standard for inclusion or exclusion. That Court pointed

to the colloquy during Congressional hearings where the Attorney General and the Director of the Census indicated that the first clause of the statute (a determination of the number of voting registrants) would be ignored. The Court then assumed that this interpretation, an interpretation of witnesses appearing before the Congress, must be correct and should be followed by the Courts. (The Court of Appeals admitted that if Petitioners' argument was accepted as correct, Texas would not be covered by the Voting Rights Act.)

However, the Congressional discussion of and the reference to the purported meaninglessness of the first clause (percentage of eligible voters registering to vote) *and* the continued inclusion of the first clause, suggest that Congress *intended that the first clause have meaning*; otherwise the clause would have been stricken from the proposed legislation. Certainly, in the context of the Congressional discussions, this interpretation (that first clause have vitality and meaning) makes more sense; and the legislative history of the Voting Rights Act supports Petitioners' position that both clauses must have meaning. This Court in *South Carolina v. Katzenbach* 383 U.S. 301 (1966), seemingly agreed with Petitioners' contention inasmuch as the Court speaks of "two findings" which Census must make. 383 U.S. at 317. (Indeed, it would be difficult, if not impossible, for Congress to adopt Petitioners' interpretation of the statute given the reasoning of the Court below. If Congress had intended that Census first determine the percentage of voters "registered", how could it have done so? No clearer language could have been used, except possibly to add, "We, the Congress, really mean for the first clause to be given force and effect; please give heed, Census and the courts.")

Moreover, there is a serious question as to whether the statutory construction tool of examining the legislative history should have been used in this case. This disputed statutory language is unambiguous to the literate American, and there is no place for the use of legislative history unless there is ambiguity. *Caminetti v. United States*, 242 U.S. 476 (1970); *Barber v. Gonzales*, 347 U.S. 637 (1954). The desirability of giving effect to the words of a statute rather than to the uncertain intent of the legislative body in enacting legislation (especially true here where legislative history can be said to give support to either Petitioners' view or to the interpretation announced by the Court below) is illuminated by the preference expressed for deciding the meaning of statutory language,

"... by analysis of the statute instead of by psychoanalysis of Congress. When we decide from legislative history, including statements of witnesses at hearings, what Congress probably had in mind, we must put ourselves in the place of a majority of Congressmen and act according to the impression we think this history should have made on them. Never having been a Congressman, I am handicapped in that weird endeavor. *That process seems to me not interpretation of a statute but creation of a statute.*" (Emphasis added)

*United States v. Public Utilities Commission of California*, 345 U.S. 295, 319 (1953), Mr. Justice Jackson concurring. See also *Thermtron Products v. Hermansdorfer*, \_\_\_ U.S. \_\_\_, 96 S.Ct 584 (1976). Legislative history apparently should have validity as an interpretative tool when it supports "the plain language" of a statute (or, as indicated earlier, where the statutory language is not "plain" but is ambiguous).

*Chandler v. Roudebush*, \_\_\_ U.S. \_\_\_, 44 L.W. 4709, 4716 (1976).

This attempt by Census, the Attorney General and the Court below should not be permitted, and this Court should give meaning to the entire statutory injunction to Census and conclude that Texas is not within the operation of the Voting Rights Act.

*2. The Attorney General And Courts Below Misinterpreted The Statutory Duty Of The Attorney General In Determining Coverage By The Voting Rights Act.*

In making his required determination to trigger the coverage of Texas by the Voting Rights Act, the Attorney General merely ascertained that Texas has a "test or device", i.e., English-only elections as provided for by Section 4(b). No consideration was given to the statutory requirement that

"... no State ... shall be determined to have engaged in the use of tests or devices ... if ... the continuing effect of such ... (use) has been eliminated and ... there is no reasonable probability of their recurrence in the future. (Section 4(d) of the 1965 Act).

If the Attorney General had given effect to this provision, he *could not* have made the requisite determination that Texas used a "test or device".

Certainly with the passage of the Texas bilingual election act, the effect of English-only election has been effectively corrected and there is no probability of English-only elections in the future. (See Texas Acts 1975, 64th Leg., page 511, Ch. 213).



Moreover, Texas has not "engaged in the use of tests or devices for the purpose or with the effect of denying or abridging the right to vote on account of race and color" (Section 4(d) of the Voting Rights Act). It surely cannot be said that Texas instituted English-only elections in 1845 with the purpose of denying the right to vote since English-only elections were the *only* type of elections held in 1845 by *all* the then-existent states. Additionally, the use of English-only elections cannot be demonstrated to have had an appreciable effect on the voting of Spanish surnamed persons. For example, in the year 1974 (an off-presidential election year) seventy-five percent of eligible voters registered to vote in Texas. (C.A. Appendix 13, 14, 165-168). In those counties having over fifty percent persons of Spanish surname, seventy-two percent of eligible voters registered (C.A. Appendix 13, 14, 165-168). And there was only a .45 of one percent less voter turnout in the high Spanish surname counties. (C.A. Appendix 14). Furthermore, in the over fifty percent Spanish surname counties, there was actually a greater percentage of *registered* voters who turned out to vote, than in counties with less than five percent Spanish surname populations (C.A. Appendix 13, 14, 165-168).

Certainly, the foregoing figures rather conclusively demonstrate that there was and is no discrimination against Spanish surname persons voting.

Indeed, the representative of the Attorney General of the United States, in testifying before Congress during consideration of the Voting Rights Act of 1975, stated that evidence did not exist justifying the inclusion of Texas within the coverage of the 1975 Act (C.A. Appendix 14).

Like the Attorney General, however, the Court of Appeals concluded that Section 4(d), providing

linguistic calipers for the measuring and determination of certification by the Attorney General of the use of a "test or device", was inapplicable. The Court held that the limiting factors of Section 4(d) were intended to apply only where a state has instituted a Section 4(a) suit to terminate coverage. However, Section 4(d) applies to all of Section 4 and the Court's interpretation erroneous when the statute is read in its entirety.

Section 4(a) does provide, as the Court of Appeal noticed, for termination-of-coverage (or bailout) suits by a state. More critically, Section (a) is the general operative part of the entire Section and provides in part that:

"no citizen shall be denied the right to vote because of his failure to comply with any test or device in any state . . ."

Section 4(b) then provides, in pertinent part, that the Attorney General shall determine whether a state has used "any test or device".

Section 4(c) defines a "test or device" as does Section 4(f)(3) which includes the English-only language election within the definition of "test or device".

Next, Section 4(d) *further defines* the term "test or device" by setting out circumstances which must be considered and decided by the Attorney General *before* he can certify that a state has engaged in the use of a "test or device". No test or device can be certified as having been used by a state if

"(1) the incidents have been few . . . and have been corrected by State . . . action,

"(2) the continuing effect of such incidents has been eliminated, and

"(3) there is no reasonable probability of their recurrence in the future."

*Notice must be taken* that Section 4(d), containing the standards for determining that a "test or device" has been used, begins by stating

*"For the purposes of this section"* (Emphasis supplied)

This introductory phrase can *only* mean that the 4(d) qualifying factors apply to the whole section, including the 4(b) determination of "test and device" and not merely to 4(a) as held by the Court of Appeals.

It seems grammatically inescapable that the take-out modifiers of Section 4(d) *must be used* by the Attorney General in making his *determination* of coverage under Section 4(b).

As demonstrated above, then, the Attorney General in determining whether Texas used a "test or device" should have considered the following:

(1) Whether Texas, in the past, used English-only elections (the finding must, of course, have been that Texas did uniformly hold such elections);

(2) Whether incidents of discrimination have been few in number and whether the incidents have been corrected by State action (the new Texas Bilingual Election statute has accomplished the required correction);

(3) Whether the continuing effect of English-only elections has been eliminated (again, the Texas Bilingual Election statute has satisfied this requirement), and

(4) Whether there is no reasonable likelihood of recurrence of any problem presented by English-only elections in the future (and again, of course, the Bilingual Election statute insures against recurrence).

This Court can and should correct the erroneous interpretation of Section 4(d) of the Voting Rights Act, indulged in by the Attorney General and approved by the Court below, so as to require the application of 4(d) to any determination by the Attorney General in determining coverage by the Act. The Record will support a determination that the bilingual voting now existent removes Texas from the coverage of the Act. At the very least, a remand should be ordered requiring that the Attorney General properly apply and take into account the provisions of Section 4(d).

*However,*

*Even If Petitioner's Statutory Construction Arguments Are Rejected By This Court,*

## **II. THE VOTING RIGHTS ACT OF 1965 AS AMENDED, IS NOT APPROPRIATE LEGISLATION TO ENFORCE THE PRIVILEGES AND IMMUNITIES GUARANTEED BY THE FOURTEENTH AMENDMENT.**

As earlier observed, the Voting Rights Act has constituted a serious intrusion into the state activities of Texas (as it has to other states). Justice Black in his dissent in *South Carolina v. Katzenbach*, *supra*, characterized that part of the Voting Rights Act which requires prior approval of a state legislation by the Attorney General as a provision which,

"... so distorts our constitutional structure of



government as to render any distinction drawn in the Constitution between state and federal power almost meaningless."

However, as Justice Black stated and as held by the Court in *Katzenbach* and most recently by this Court in *Fitzpatrick v. Bitzer*, \_\_\_ U.S. \_\_\_, 44 L.W. 5120 (June 28, 1976), Congress certainly has the power to protect and "enforce" the substantive privileges and immunities guaranteed by the Fourteenth Amendment to the United States Constitution "by appropriate legislation".

But, as interpreted by the Court of Appeals, and as interpreted and applied by the Census and the Attorney General of the United States, the Voting Rights Act is not "appropriate legislation" and cannot be extended to Texas absent a minimum of interpretive and application safeguards.

Some balance must be achieved between Congressional protection of civil rights and the sovereignty of a state as it exists within the federal system. As this Court observed in *National League of Cities v. Usery*, \_\_\_ U.S. \_\_\_, 44 L.W. 4974, 4976 (June 24, 1976),

"It . . . has never (been) doubted that there are limits upon the power of Congress to override state sovereignty even when exercising its otherwise plenary powers . . ."

The Court then, quoting from *Fry v. United States*, 421 U.S. 542, 547, noted,

"While the Tenth Amendment has been characterized as a 'truism,' stating merely that 'all is retained which has not been surrendered,' *United States v. Darby*, 312 U.S. 100, 124

(1941), it is not without significance. The Amendment expressly declares the constitutional policy that Congress may not exercise power in a fashion that impairs the States' integrity or their ability to function effectively in a federal system. . . ." (Emphasis supplied).

Thus, while conceding that Congress has the power and the duty to protect civil rights "by appropriate legislation", such legislation cannot completely "override state sovereignty", or cause "the utter destruction of the State as a sovereign entity", or "exercise power in a fashion that impairs the State' . . . ability to function in a federal system", but any Congressional enactment must be limited by choosing a "means . . . reasonably adapted to the end permitted by the Constitution". (Quoted language is from *National League of Cities*, supra, 44 L.W. at 4976.)

The "means chosen by Congress, as applied to Texas by the executive branch of the United States (Census and the Attorney General) and by the Court below, are simply not "appropriate" "means of protecting civil rights and at the same time protecting the sovereignty of the State of Texas.

1. *The Refusal Of The Director Of Census And The Attorney General To Grant Some Sort Of Hearing Or Forum To Permit Texas To Submit Evidence And Argument On The Non-Applicability Of The Voting Rights Act To Texas Was Arbitrary And Violated The Sovereignty Of Texas.*

As early as July 14, 1975, Secretary of State Mark White began attempts to secure an audience before the

Director of the Census and the Attorney General in order to submit evidence and present his contentions as to the coverage or non-coverage of Texas by the Voting Rights Act of 1975 (it had become evident at that time that the Act would become effective within a short time). (C.A. Appendix 10, 16, 149). Additional, repeated requests for some sort of hearing were made by both the Secretary of State and the Governor of Texas. (C.A. Appendix 11, 17-25, 149).

On September 2, 1975, White was advised by telegram from J. Stanley Pottinger that Census would "provide . . . the opportunity . . . to provide any data and supporting documentation relevant to his (Census) determination . . . (and) your (White's) data will be received and considered fully and fairly." (C.A. Appendix 11, 149). A meeting was scheduled for September 5, 1975. (C.A. Appendix 12, 149, 150). While in preparation for the promised hearing, the Secretary of State of Texas learned that Census has already determined the issues to be discussed at the hearing. *Because*, on September 4, 1975, the Bureau of Census issued a *press release* announcing to the State of Texas and all the world that Census had determined that Texas was covered by the Voting Rights Act. (C.A. Appendix 12, 20, 150).

The scheduled, but abortive and then futile, September 5 meeting was nevertheless held, at which time White presented his views and documents. He also learned that the Director of Census in arriving at the number of "citizens of voting age" in Texas on November 1, 1972, employed the following procedures:

- (a) Disenfranchised persons, such as, persons convicted of felonies and adjudicated lunatics

were included as were non-residents, military personnel and students (C.A. Appendix 12, 152, 154, 160-162);

- (b) No consideration was given to the statutory language requiring the determination of whether "less than 50 per centum of the citizens of voting age were registered on November 1, 1972," (C.A. Appendix 11, 12, 151-153);

- (c) No current or 1972 figures for the number of aliens in Texas as compiled by and available from the United States Bureau of Immigration and Naturalization were used to exclude such aliens from "citizens of voting age" (C.A. Appendix 12, 155-160); and

- (d) A separate standard was used in the case of Texas (and in four other states) to determine "persons of Spanish heritage" so that all persons with Spanish surnames were held to be includable without regard to whether they have English language capability or even whether they can speak or read the Spanish language (C.A. Appendix 12, 24, 158, 163).

A meeting was later held before members of the United States Attorney General's staff, but White was not permitted to offer evidence, comments, criticisms or arguments as to the applicability of the Voting Rights Act to Texas (C.A. Appendix 163, 164, 177, 178). The Attorney General never offered any sort of hearing or audience to Texas before determining that it was covered by the Act. (C.A. Appendix 164).

While no *formal* hearing before either Census or Justice may be required and while the federal due process requirement may not be applicable to the



States, some opportunity to demonstrate noncoverage and some elements of fair play must be offered and accorded to a state. Anything less would raise serious constitutional problems concerning the Act, because the means chosen by Congress to protect voting rights would not satisfy the Fourteenth Amendment "appropriate legislation" requirement.

It should be recognized that this case presents a different posture than the case of *South Carolina v. Katzenbach*, supra, since Texas has consistently challenged (before publication in the Federal Register) the figures used by Census and the interpretation of the Act by both Census and the Attorney General. And, unlike the situation in *Katzenbach*, there has arisen a "plausible dispute". 383 U.S. at 333. If the determinations made by Census and the Attorney General were not the "objective statistical determinations" nor "routine analysis of state statutes" (*Katzenbach*, 383 U.S. at 333) as Petitioners have consistently urged, then some opportunity for a hearing before these agencies must be required.

Some means simply must be provided for a sovereign state to challenge the interpretations of the Act used by Census and the Attorney General before some administrative officer (not Congress) rules in such a fashion as to trigger coverage.

The need and constitutional necessity for some form of hearing is cogently illuminated by the discussions which follow:

2. *The Irrationality Of The Determination Of "Citizens Of Voting Age" By Census Casts Doubt Upon The Validity Of The Voting Rights Act As Applied.*

Several areas of dispute with regard to the determination made by Census have been noted above. While the inclusion of disenfranchised voters and nonresident military personnel and students in the citizenship of voting age figure may be explained by the statutory words "citizens of voting age" (all of these questionable included persons may be *citizens* for some purposes), the manner of excluding aliens and the ignoring of part of the statutory requirements for inclusion within the Act (the failure to give any effect to the statutory injunction to count the number of citizens registered) present a strong suggestion of administrative irrationality and capriciousness.

Census calculations were as follows:

|  |           |
|--|-----------|
| Persons of Voting Age in Texas<br>on 11/1/72 ---     | 7,655,000 |
| Less Aliens of Voting Age in Texas<br>on 11/1/72 --- | 140,657   |
| Citizens of Voting Age in Texas<br>on 11/1/72 ---    | 7,514,343 |

(C.A. Appendix 133)

The figure for the number of aliens in Texas as of November 1972, which includes both legal and illegal aliens, is patently erroneous, and in arriving at the number Census ignored the more accurate figures compiled by the Bureau of Immigration and Naturalization, figures which show that there were 263,200 legal aliens in Texas in 1972 (not all of voting age, however) and that 209,912 illegal aliens were deported from Texas in 1972. (C.A. Appendix 155, 157; Plaintiffs' Exhibit No. 1). Plaintiffs' Exhibits 3, 4 and 5 (not admitted but a part of the Record on Appeal in the

Court below) further indicated that for every alien apprehended in Texas, at least four times that number were living in Texas and were undetected, or a figure of 1,000,000 aliens. Use of this figure would have placed Texas without the coverage of the Act (C.A. Appendix 133, 159).

This ratio of unapprehended illegal aliens to apprehended illegal aliens, four to one, is borne out by the recent study made under contract to the Bureau of Investigation and Naturalization, denominated as "Final Report - Basic Data and Guidance Required to Implement a Major Illegal Alien Study During Fiscal Year 1976."

The Report indicates that there were 2,693,600 illegal Mexican nationals who went undetected in the United States in the year of 1972. (Report, page 12). Probably one-half of the number were living in Texas, a figure of much plausibility when consideration is given to the fact that the length of the Texas Border lying adjacent to Mexico is in excess of 900 miles while the Mexican border along New Mexico, Arizona and California is only about 700 miles. Rand McNally Cosmopolitan World Atlas, 1962 Ed., pages 80, 82, 107 and 118. Moreover, the larger Mexican population centers lie below the Texas border enhancing the possibility that the major influx of illegal aliens is into Texas.

However, to use overly conservative figures, a reasonable calculation of aliens in Texas in 1972 would be:

|                                   |        |
|-----------------------------------|--------|
| Legal aliens:                     |        |
| 263,000 estimated to include only |        |
| 1/3 persons of voting age or      | 87,667 |

Illegal aliens apprehended:  
209,912 of which it may be estimated

|   |         |
|---|---------|
| that 50,000 were apprehended<br>twice, or a figure of | 159,912 |
|---|---------|

|   |         |
|---|---------|
| Illegal aliens not apprehended:<br>2,693,600 for the United States of<br>which it may be estimated that 1/4<br>were living in Texas, or a figure of | 673,400 |
|---|---------|

|   |         |
|---|---------|
| Total aliens in Texas by the most<br>cautious estimates | 920,979 |
|---|---------|

If this more-than-reasonable figure had been used by Census, then the citizens of voting age in Texas November of 1972 would have been:

|  |           |
|--|-----------|
| Persons of voting age (by Census<br>figures) | 7,655,000 |
|--|-----------|

|             |         |
|-------------|---------|
| Less aliens | 920,979 |
|-------------|---------|

|                        |           |
|------------------------|-----------|
| Citizens of Voting Age | 6,734,021 |
|------------------------|-----------|

Then, even under the coverage calculations of Census and Justice (which improperly ignores the number of citizens registered to vote), there would have been over 51% of the citizens of voting age in Texas who voted in the November 1972 presidential election (3,472,714 persons voting / 6,734,021 citizens of voting age) --- and so *Texas would not have been included within the embrace of the Voting Rights Act.*

Appellants do not say the foregoing figures for number of aliens in Texas are correct (almost certainly there were more aliens than the calculated figure), but the analysis does rather conclusively demonstrate that the figure for aliens used by Census is manifestly ridiculous and constitutes an abrogation of the statutory



duty imposed by Congress to "determine the citizens of voting age" (emphasis added) as a step in the process of determining Voting Rights Act coverage.

And more importantly, the questionable nature of the figures used by Census to trigger the coverage of Texas, emphasizes the need for a hearing of some character.

*3. The Decision By The Attorney General Subjecting Texas To The Voting Rights Act Was Erroneous, Irrational And Arbitrary.*

Petitioners have asserted above that the Attorney General erroneously applied the triggering tests of the Voting Rights Act so as to include Texas within the coverage of the Act by failing to measure the Texas "test or device" (English-only elections) with modifying factors contained in Section 4(d), i.e., the elimination of the continuing effect of such test and the lack of any reasonable probability of recurrences in the future.

Again, with respect to the contention of Texas that such factors should have been considered before a finding that a "test or device" was used, no hearing was accorded to Texas to urge its no "test or device" theory.

The failure of the Attorney General to hold a hearing before making a determination, which has pitch-forked Texas and its political subdivisions into the morass of bureaucratic determinations, purportedly measuring the effect of its statutes and ordinances upon voting, demonstrates the "(in) appropriateness" of the Voting Rights Act, as interpreted, for the protection of civil rights.

As noticed earlier, Texas concedes the power of Congress to guarantee civil rights, including the right to vote, by enacting "appropriate legislation".

However, where the Attorney General refuses to give consideration to a position taken by a state, legislation which will permit such a happenstance, Voting-Rights-Act coverage cannot be said to be "appropriate". As noted by Mr. Justice White (in another voting rights context) in his dissent in *Georgia v. United States*, 411 U.S. 526, 543 (1973),

"I cannot believe, however, that Congress intended to visit upon the States the consequences of such uncontrolled discretion in the Attorney General."

And in the same case (411 U.S. at 545) Mr. Justice Powell, dissenting, said,

"As a minimum, assuming the constitutionality of the Act, the Attorney General should be required to comply with it explicitly and to invoke its provisions only when he is able to make an affirmative finding rather than an ambivalent one."

Accordingly, where a Congressional enactment intrudes alarmingly and pervasively into the activities of a State, as does this Act, the unbridled authority and the serious Federal-State consequences which alarmed Mr. Justice White will become a reality, absent the imposition of proper judicial restraints. The executive officials, armed with the administration of the laws, should be acutely aware that they must perform their duties fairly and cautiously and avoid the slightest tinge of arbitrariness. Otherwise, in the federal-state, federalism context, the legislation will be deemed to be "(in) appropriate".

The determinations by Census and by the Attorney General, in triggering Voting Rights Act coverage of

Texas, ignored the law, were unreasonable and cannot form the basis for coverage. And if the law intended such precipitate actions by Census and the Attorney General; the legislation is not "appropriate" and is without the power of Congress to enact. *National League of Cities v. Usery*, supra; *Rizzo v. Goode*, \_\_\_\_ U.S. \_\_\_\_, 96 S.Ct. 598 (1976); *Younger v. Harris*, 401 U.S. 37 (1971).

*of Cities v. Usery*, supra; *Rizzo v. Goode*, \_\_\_\_ U.S. \_\_\_\_, S.Ct. (1976); *Younger v. Harris*, 401 U.S. 37 (1971).

Thus, the interpretation and application of the Voting Rights Act by Census and the Attorney General in this case must be held to be improper to save the constitutionality of the Act. Such interpretations and applications cannot have been intended by Congress.

The Voting Rights Acts, as presently *applied* to Texas, is not "appropriate legislation" to protect the voting rights of its citizens. At the very least, remand should be ordered by this Court with instructions that Census and the Attorney General performs their statutory duties in a manner consistent with "Our Federalism". *Younger v. Harris*, supra.

### CONCLUSION

For the reasons stated above, Petitioners pray that a writ of certiorari should issue to review the judgment and opinion of the Court of Appeals for the District of Columbia.

Respectfully submitted,

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### CERTIFICATE OF SERVICE

I, Lonny F. Zwiener, Assistant Attorney General of Texas, do hereby certify that the above and foregoing Petition for Writ of Certiorari has been served on the Defendants-Appellees by placing three copies of said Petition in the United States Mail, Air Mail, postage prepaid, on this the \_\_\_\_\_ day of July, 1976 to: Mr. Brian K. Landsberg, Attorney, Department of Justice, Washington, D.C. 20530.

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LONNY F. ZWIENER  
Assistant Attorney General

APPENDIX A



Notice: This opinion is subject to formal revision before publication in the Federal Reporter or U.S. App. D.C. Reports. Users are requested to notify the Clerk of any formal errors in order that corrections may be made before the bound volumes go to press.

**United States Court of Appeals**  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

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No. 75-1903

DOLPH BRISCOE, GOVERNOR OF THE  
STATE OF TEXAS, ET AL., APPELLANTS

v.

EDWARD H. LEVI, UNITED STATES ATTORNEY GENERAL,  
ET AL.

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Appeal from the United States District Court for the  
District of Columbia  
(D.C. Civil Action 75-1464)

---

Argued December 12, 1975

Decided April 19, 1976

*David M. Kendall*, First Assistant Attorney General of the State of Texas, with whom *John W. Odam*, Executive Assistant Attorney General of the State of Texas, was on the brief for appellants. *Charles S. Rhyne*, *William S. Rhyne*, *Donald A. Carr* and *Richard J. Bacigalupo*, entered appearances for appellants.



Cynthia L. Attwood, Attorney, Department of Justice, with whom Earl J. Silbert, United States Attorney, and Brian K. Landsberg, Attorney, Department of Justice, were on the brief for appellees. John A. Terry, Assistant United States Attorney, also entered an appearance for appellees.

Before MR. JUSTICE CLARK,\* of the Supreme Court of the United States, and ROBINSON and MACKINNON, Circuit Judges.

Opinion for the court filed by Circuit Judge MACKINNON.

MACKINNON, Circuit Judge: The State of Texas in this litigation contends that the Attorney General and the Director of the Census incorrectly determined that Texas became subject to the corrective provisions of the Voting Rights Act of 1965,<sup>1</sup> by virtue of the 1975 amendments<sup>2</sup> thereto, because more than five percent of the voting age citizens of Texas are members of a single (foreign) language minority and because Texas printed at least some of its election materials only in English as of November 1, 1972. The judgment of the district court agreed generally with the position of the federal government, and we affirm that decision.

The Voting Rights Act of 1965 provides, *inter alia*, that "no citizen shall be denied the right to vote in any Federal, State, or local election because of his failure to comply with any test or device" with respect to which certain determinations specified in section 4(b) have been made by the Attorney General and the Director of

\* Mr. Justice Tom Clark, United States Supreme Court, Retired, sitting by designation pursuant to 28 U.S.C. § 294(a).

<sup>1</sup> Act of Aug. 6, 1965, Pub. L. No. 89-110, 79 Stat. 437, as amended 42 U.S.C.A. § 1973 *et seq.* (1976 Supp.).

<sup>2</sup> Act of Aug. 6, 1975, Pub. L. No. 94-73, 89 Stat. 400.

the Census.<sup>3</sup> In its 1975 Amendments to the Act, Congress expanded the original definition of "test or device" to include elections conducted only in English where a substantial fraction of the population of a particular jurisdiction speaks a foreign language.<sup>4</sup>

\* 42 U.S.C. § 1973b(a) (1970).

<sup>4</sup> In the 1965 Act, "test or device" was defined to mean any requirement that a person as a prerequisite for voting or registration for voting (1) demonstrate the ability to read, write, understand, or interpret any matter, (2) demonstrate any educational achievement or his knowledge of any particular subject, (3) possess good moral character, or (4) prove his qualifications by the voucher of registered voters or members of any other class.

42 U.S.C. § 1973b(c) (1970). The above types of tests and devices were banned nationwide (*i.e.*, not merely in areas where the trigger requirements of section 4(b) have been met but in *every* state) for five years by the 1970 Amendments to the Voting Rights Act, § 6, 84 Stat. 315, as amended 42 U.S.C.A. § 1973aa (1976 Supp.), and this ban was later made permanent. Act of August 6, 1975, Pub. L. No. 94-73, Title I, § 102, 89 Stat. 400. The 1975 Amendments expand the definition of "test or device" to include

any practice or requirement by which any State or political subdivision provided any registration or voting notices, forms, instructions, assistance, or other materials or information relating to the electoral process, including ballots, only in the English language, where the Director of the Census determines that more than five per centum of the citizens of voting age residing in such State or political subdivision are members of a single language minority.

42 U.S.C.A. § 1973b(f) (3) (1976 Supp.). The new category of tests and devices has not been prohibited on a nationwide basis; rather, this category is only banned in jurisdictions where the trigger requirements of section 4(b) have been met. See 42 U.S.C. § 1973aa(b) (1970).

The facts of this case arise under this new category of tests and devices.

The sanctions of the Act are triggered by the determinations referred to above. Specifically, after the adoption of the 1975 Amendments, section 4(b) of the amended Act requires the Director of the Census to make two determinations on or after August 6, 1975. First, he must determine whether "more than five per centum of the citizens of voting age residing in [a] State or political subdivision are members of a single language minority."<sup>5</sup> Second, the Director must determine with respect to each jurisdiction whether

less than 50 per centum of the citizens of voting age were registered on November 1, 1972, or [whether] less than 50 per centum of such persons voted in the Presidential election of November 1972.<sup>6</sup>

The Attorney General must then separately determine whether the particular state or political subdivision in question maintained a "test or device" on November 1, 1972.<sup>7</sup> In the event that both officials make affirmative determinations in the areas assigned to them by the statutory provisions mentioned above (also referred to as the "trigger" provisions), the particular state or subdivision becomes subject to corrective provisions of the amended Act<sup>8</sup> until such time as that jurisdiction,

<sup>5</sup> 42 U.S.C.A. § 1973b(f) (3) (1976 Supp.). Strictly speaking, this determination is not a part of the Act's trigger but rather provides the basis for the Attorney General's determination that a "test or device" has been maintained in the particular jurisdiction. See note 4 *supra*.

"Language minority" is defined to mean persons who are American Indian, Asian American, Alaskan Natives, or of Spanish heritage. 42 U.S.C.A. § 1973l(3) (1976 Supp.).

<sup>6</sup> 42 U.S.C.A. § 1973b(b) (1976 Supp.).

<sup>7</sup> *Id.*

<sup>8</sup> The most relevant of these for the purposes of this case are a prohibition on conducting elections only in English, 42 U.S.C.A. §§ 1973, 1973b(f) (2) (1976 Supp.); a requirement that election and registration materials be provided in the

in a suit before a three judge court in the United States District Court for the District of Columbia, proves that it has not used a "test or device" with a discriminatory effect or purpose in ten years preceding the filing of the suit.<sup>9</sup>

As early as July 14, 1975 (three weeks before the effective date of the 1975 amendments), appellant White, who is Secretary of State for Texas and the state's chief election official, requested the Director of the Census and the Attorney General to grant the state a formal hearing prior to making the determinations regarding Texas required by the amended Act. It was suggested that White could present evidence which was allegedly relevant to the question of whether Texas is covered by the new law. Although the statute itself makes no provision for a hearing, the Bureau of the Census did agree to provide Texas with an opportunity to present any data and supporting documentation relevant to the census determinations, and agreed to receive and consider such data fully and fairly.<sup>10</sup> A meeting was scheduled for September 5, 1975.<sup>11</sup>

On September 4, the day before the meeting, the Bureau of the Census issued a press release<sup>12</sup> which

language of the applicable language minority group, 42 U.S.C.A. § 1973b(f) (4) (1976 Supp.); a requirement that all changes in voting practices and procedures be submitted to the Attorney General or subjected to a declaratory judgment action before a three judge court in the District Court for the District of Columbia for a determination as to whether such changes have a discriminatory purpose or effect, 42 U.S.C.A. § 1973c (1976 Supp.); and the employment of federal voting examiners and observers, 42 U.S.C.A. § 1973d (1976 Supp.).

<sup>9</sup> 42 U.S.C.A. § 1973b(a) (1976 Supp.).

<sup>10</sup> Appellees' Brief at 11; App. 11.

<sup>11</sup> App. 149-50.

<sup>12</sup> Supp. App. 49-55.



stated that the Director had determined that the State of Texas met two of the "trigger" requirements: 1) that greater than five percent of the citizens of voting age were persons of Spanish heritage, and 2) that there was less than a 50 percent voter participation in Texas in the presidential election of November, 1972. The meeting was held the next day as scheduled, and although the state officials were informed that the Bureau would evaluate any evidence presented by Texas and would reconsider their determination as to the trigger requirements of the Act if that evidence showed they had erred,<sup>13</sup> no facts were presented which the Bureau considered required a change in this initial determination.<sup>14</sup>

On September 8, 1975, appellants filed suit in district court for a declaratory judgment on how and under what circumstances the determinations called for by section 4(b) of the amended Voting Rights Act should be made.<sup>15</sup> They also sought an injunction against the defendants

<sup>13</sup> App. 180-81. See note 34 *infra*.

<sup>14</sup> App. 136.

<sup>15</sup> App. 9. Specifically, the Texas parties asked the court to declare: 1) whether the Director of the Census, in making the determinations required by section 4(b) of the Act, may use estimates; 2) whether, in counting "citizens of voting age," the Director of the Census may include "persons convicted of felonies but not pardoned, persons in the State for temporary purposes, persons not mentally competent, and aliens, either legal or illegal. . ."; 3) whether the Director of the Census had appropriately construed the 50 percent voter participation portion of the section 4(b) trigger formula; 4) whether the section 4(b) trigger formula and the section 4(f) (3) definition of "test or device" could be applied retroactively to November 1, 1972; and 5) whether the Attorney General may determine that a jurisdiction employed a "test or device" as defined in section 4(f) (3) without determining whether the conditions stated in section 4(d) of the Act have been met. Complaint, App. 6-8.

restraining them from publishing any determination concerning the state of Texas in the Federal Register pursuant to the amended Act. On September 12, the court granted the federal parties' motion for summary judgment,<sup>16</sup> denied the Texas parties' motion for preliminary relief, and dismissed the case.<sup>17</sup> This appeal followed.<sup>18</sup>

# I.

We first consider the jurisdiction of the district court to review the determinations of the Director of the Census, because our jurisdiction and the extent of our reviewing authority necessarily depend upon the original jurisdiction which may or may not exist in the District Court. While the decision of the trial judge on this point has not been challenged on appeal,<sup>19</sup> the *scope* of the

<sup>16</sup> This motion was originally made by the federal parties as a motion to dismiss, but was treated by the court as a motion for summary judgment without objection by either side. App. 141.

<sup>17</sup> Oral opinion, App. 219; Order, App. 230.

<sup>18</sup> On September 19, 1975, a division of this court (Leventhal and Wilkey, JJ.) denied the Texas parties' motion to enjoin publication of the section 4(b) determinations pending appeal, and ordered held in abeyance their alternative motion to enjoin the Attorney General's enforcement of section 4 of the Voting Rights Act as to Texas while its appeal was pending. Thereafter, on September 23, 1975, the Attorney General and the Director of the Census published a joint determination which stated that the state of Texas had been determined by those officials to meet the trigger requirements of section 4(b) of the amended Act. 40 FED. REG. 43746 (Sept. 23, 1975).

<sup>19</sup> Both sides now appear to accept the decision of the lower court on the existence and scope of its jurisdiction. See Brief of Appellants at 9-10; Brief for Appellees at 15-16. The only question raised is whether the district judge overstepped the jurisdictional bounds which he himself set. Brief for Appellees at 16. This argument is considered below. See text at 22-24 *infra*.

lower court's subject matter jurisdiction is important to a proper resolution of this case.

Section 4(b) of the Voting Rights Act of 1965<sup>20</sup> provides:

A determination or certification of the Attorney General or of the Director of the Census under this section . . . shall not be reviewable in any court and shall be effective upon publication in the Federal Register.

That provision was held constitutional in *South Carolina v. Katzenbach*, 383 U.S. 301 (1966), which stated:

The Court has already permitted Congress to withdraw judicial review of administrative determinations in numerous cases involving the statutory rights of private parties. For example, see *United States v. California Eastern Line*, 348 U.S. 351; *Switchmen's Union v. National Mediation Bd.*, 320 U.S. 297. In this instance, the findings not subject to review consist of objective statistical determinations by the Census Bureau and a routine analysis of state statutes by the Justice Department. These functions are unlikely to arouse any plausible dispute, as South Carolina apparently concedes. In the event that the formula is improperly applied, the area affected can always go into court and obtain termination of coverage under § 4(b), provided of course that it has not been guilty of voting discrimination in recent years. This procedure serves as a partial substitute for direct judicial review.

*Id.* at 333 (emphasis added). The Court construed this provision in a manner consistent with the interpretation given to the Act as a whole: an "inventive" solution to "nearly a century of systematic resistance to the Fif-

<sup>20</sup> Act of Aug. 6, 1965, Pub. L. No. 89-110, § 4(b), 79 Stat. 438. This provision continues to appear in the Act following the 1975 amendments. 42 U.S.C.A. § 1973b(b) (1976 Supp.).

teenth Amendment" which would "shift the advantage of time and inertia from the perpetrators of the evil to its victims." *Id.* at 328. Thus, "the measure prescribes remedies for voting discrimination which go into effect without any need for prior adjudication." *Id.* at 327-28.

The trial court in the instant case held that this provision did not divest it of "narrow" jurisdiction to determine whether the Director of the Census followed Congressional intent and direction.<sup>21</sup> The district judge stated the following standard for review:

This is not a review of the computations made by the Director of Census following publication but is an examination prior to publication inquiring into whether or not the Bureau of Census or the Director of Census has properly applied the Act.

The test that the Court feels must be applied in this circumstance under the narrow jurisdiction which I have indicated is here present is to determine whether or not the interpretation given by the Director of Census to the statute is rational and whether it is or is not in the large consistent with the declared congressional purpose and the legislative history.

(App. 222-23).

. . . .

[A] court cannot review except as I have here done to determine that the Director of Census has not acted in an arbitrary or illegal manner but rather that he has proceeded in a rational manner, consistent with the apparent meaning of the statute and that his interpretations of it at this stage must be sustained.

(App. 225).

We agree that this was the proper standard of review for the court to apply. In *Leedom v. Kyne*, 358 U.S. 184

<sup>21</sup> App. 221-22.



(1958), the Supreme Court ruled that a federal district court had jurisdiction to hear a challenge to a certification of a collective bargaining unit by the National Labor Relations Board despite a provision in the National Labor Relations Act which precludes direct review of such certifications.<sup>22</sup> In *Leedom*, the Board had, without a vote among the professional employees, included both professional and nonprofessional employees in the same bargaining unit in clear violation of section 9(b)(1) of the National Labor Relations Act, which provided that "the Board shall not (1) decide that any unit is appropriate . . . if such unit includes both professional employees and employees who are not professional employees unless a majority of such professional employees vote for inclusion in such unit."<sup>23</sup> The Court allowed direct review of this determination on the ground that:

This suit is not one to "review," in the sense of that term as used in the Act, a decision of the Board made within its jurisdiction. Rather it is one to strike down an order of the Board made in excess of its delegated powers and contrary to a specific prohibition in the Act.

<sup>22</sup> The legislative history of the Wagner Act, and of the Taft-Hartley amendments, shows a considered congressional purpose to restrict judicial review of National Labor Relations Board representation certifications to review in the Courts of Appeals in the circumstances specified in § 9(d), 29 U.S.C. § 159(d).

\* \* \*

Congress knew that if direct judicial review of the Board's investigation and certification of representatives was not barred, "the Government can be delayed indefinitely before it takes the first step toward industrial peace." Therefore, § 9(d) was written to provide "for review in the courts only after the election has been held and the Board has ordered the employer to do something predicated upon the results of the election."

358 U.S. at 191, 192 (Brennan, J., dissenting) (footnotes eliminated).

<sup>23</sup> 29 U.S.C. § 159(b)(1) (1958).

358 U.S. at 188. Similarly, in *International Association of Machinists and Aerospace Workers v. National Mediation Board*, 138 U.S.App.D.C. 96, 425 F.2d 527 (1970), we allowed a limited review of a decision of the National Mediation Board not to discontinue mediation and proffer arbitration under the Railway Labor Act, notwithstanding a clear legislative intent to preclude judicial review of Mediation Board actions, stating that:

An exception to the rule of immunity has been carved out and jurisdiction of the courts established, where the papers establish on their face a plain violation by the Board of a statutory command which warrants immediate intervention by an equity court.

*Id.* at 105, 425 F.2d at 536. See also *Thermtron Products, Inc. v. Hermansdorfer*, 96 S.Ct. 584, 593 (1976); *Municipal Light Boards of Reading & Wakefield Massachusetts v. FPC*, 146 U.S.App.D.C. 294, 450 F.2d 1341 (1971), *cert. denied*, 405 U.S. 989 (1972).<sup>24</sup>

It is therefore apparent that even where the intent of Congress was to preclude judicial review, a limited jurisdiction exists in the court to review actions which on their face are plainly in excess of statutory authority.

<sup>24</sup> In the *Municipal Light Boards* case, we cited both *Leedom* and *Int'l Assn. of Machinists*, and stated:

Our ruling is in the context of the kind of claim presented to us—that there has been an abuse of agency discretion. We do not speak to a case where the claim is that the suspension action taken or declined by the agency is plainly without any statutory authority or in defiance of a "clear and mandatory" statutory command or reflects an error evident on the face of the papers—considerations which have been held to constitute an exception, a basis for judicial correction in the case of other types of agency action which Congress has withdrawn from judicial review jurisdiction.

146 U.S.App.D.C. at 305, 450 F.2d at 1352 (footnote eliminated).

That such a limited review is permissible in this case is also evident from the opinion of the Supreme Court in *South Carolina v. Katzenbach*, *supra*, where the Court construed the statutory provision which is in question here to prohibit review of “findings”—that is, the “objective statistical determinations by the Census Bureau and . . . routine analysis of state statutes by the Justice Department.”<sup>25</sup> The district court in the instant case was careful to note that the actual computations made by the Director of the Census were *not* within its jurisdiction to review, and that its scope of review was limited to determining whether the Director acted “consistent with the apparent meaning of the statute.”<sup>26</sup> Narrowly defined in this manner, the jurisdiction of the trial court to consider the Director’s determinations is supported by precedent, and we therefore affirm the authority of the district court to review in the present case.

## II.

On this appeal appellants first contend that the Director of the Census and the Attorney General erred in failing to grant Texas “some sort of a hearing” before determining that it was covered by the Act. Although they apparently concede that they are not entitled to a *formal* hearing under the Voting Rights Act<sup>27</sup> and do not challenge the holding of the district court that “[t]he Administrative Procedure Act in no way affords a hearing under these circumstances,”<sup>28</sup> they do argue that

<sup>25</sup> See text at 8 *supra*.

<sup>26</sup> See text at 9 *supra*.

<sup>27</sup> Appellants’ Brief at 12.

<sup>28</sup> App. 223. Since the appellants do not contend that they were denied an opportunity to submit written comments prior to the Director’s determinations, it appears that the type of “hearing” they seek is the trial-type hearing detailed by sections 7 and 8 of the APA, 5 U.S.C. §§ 556, 557 (1970),

some opportunity to demonstrate non-coverage and some elements of fair play must be offered and accorded to a state. To require less, would raise serious constitutional problems concerning the Act—problems that must now be measured by and answered by application of the “Our Federalism” philosophy of *Younger v. Harris* [401 U.S. 37 (1971)].

(Appellants’ Brief at 12). To the extent that this argument is supposed to be a constitutional challenge to the Voting Rights Act, we have no jurisdiction to consider it because appellants did not apply for a three judge court upon filing their action.” If, on the other hand,

which includes an opportunity to cross-examine and to know and meet the opposing evidence. A trial-type hearing before an agency is most appropriate where, as here, there are disputed issues of fact to be resolved. 1 K. DAVIS, ADMINISTRATIVE LAW TREATISE § 7.01 (1958). Hearings under sections 7 and 8, however, are required *only* when either rulemaking or adjudications are required by statute to be “on the record after opportunity for an agency hearing,” 5 U.S.C. §§ 553, 554 (1970). The Voting Rights Act contains no such requirement.

<sup>29</sup> By statute, all applications for an injunction against the execution of an Act of Congress which is alleged to be unconstitutional must be heard in the first instance by a three judge district court. 28 U.S.C. § 2282 (1970). *But cf.* *Gonzalez v. Automatic Employees Credit Union*, 419 U.S. 90, 96-98 (1974). Since there was no such application here, the issue of constitutionality cannot properly be reviewed on the merits by this court, *Stratton v. St. Louis Southwestern Ry. Co.*, 282 U.S. 10 (1930), although had the constitutional issue been raised in the court below we would be permitted to review that court’s jurisdiction to decide the matter. *McLucas v. DeChamplain*, 421 U.S. 21, 30 (1975). The issue of the district court’s jurisdiction, however, is not raised on appeal.

Even if 28 U.S.C. § 2282 had been properly invoked below, this court would have no jurisdiction to consider the Act’s constitutionality, since appeal then lies directly to the Supreme Court. 28 U.S.C. § 1253 (1970); *Oldroyd v. Kugler*, 461 F.2d 535 (3d Cir. 1972); *Lee v. Roseberry*, 200 F.2d 155 (6th Cir. 1952); *Jackson v. Cravens*, 238 F. 117 (5th Cir. 1916).



it is directed to our *interpretation* of that statute, it is not persuasive. In *Younger, supra*, the Supreme Court sustained the district court's refusal to enjoin a state criminal prosecution under California's Criminal Syndicalism Act, based in part upon

a proper respect for state functions, a recognition of the fact that the entire country is made up of a Union of separate state governments, and a continuance of the belief that the National Government will fare best if the States and their institutions are left free to perform their separate functions in their separate ways. . . . What the concept does represent is a system in which there is sensitivity to the legitimate interests of both National Government, anxious though it may be to vindicate and protect federal rights and federal interests, always endeavors to do so in ways that will not unduly interfere with the legitimate activities of the States.<sup>30</sup>

In *Younger* the Court was dealing with a requested *judicial* action, and thus was saying that in carrying out their federal responsibilities courts should be sensitive to state interests. By contrast, the federal action involved in the instant case was *legislative*, and although the same advice might well be given to the Congress, *Younger* does not place in the hands of this court responsibility for the balancing which determines to what extent a federal statute should intrude upon state interests. That task primarily belongs to Congress, and the resolution of the matter which Congress makes should not be disturbed by a court unless it violates the Constitution.

In the case before us there is little direct evidence that Congress did consider requiring a hearing prior to the making of the required determinations<sup>31</sup>; but even if

<sup>30</sup> 401 U.S. at 44.

<sup>31</sup> The only reference to this issue in the legislative history appears to be in a statement by the members of the Republican

they did not study and reject the idea, the purpose of the Act is so inconsistent with any notice-and-comment requirement as to compel the conclusion that a predetermination hearing cannot be implied from the terms of

minority on the House Judiciary Committee in the committee report on the Voting Rights Act:

The "numbers game" approach, obviously designed to hit a pre-designated target, is clearly an arbitrary device unless we are to believe that, without evidence, without a judicial proceeding or a *hearing of any kind*, a contrived mathematical formula is capable of fairly delineating those States that discriminate on account of race or color and those that do not.

H.R. REP. NO. 439, 89th Cong., 1st Sess., at 45 (1965) (emphasis supplied). Although this may be some evidence that the point was discussed and rejected in committee, it does not necessarily demonstrate that the idea of requiring a hearing was given reasoned consideration, nor does it give us an insight into the rationale for the possible rejection. In short, it is not at all useful.

The appellees argue that there was a proposal [Amendment No. 106 to S. 1564] made on the floor of the Senate by Senator Eastland to amend section 4 of the Voting Rights Act of 1965 to require that section 4(b) determinations be made "after notice and opportunity for hearing have been granted in accordance with the provisions of the Administrative Procedure Act," but that this amendment was "tabled and given no further consideration by the Senate." Appellees' Brief at 28. However, appellees have misread the action of the Senator and its legislative significance. The passage in the Congressional Record which appellees cite states that Amendment 106 was "submitted" by Senator Eastland and was "ordered to lie on the table and to be printed." 111 CONG. REC. 8760 (April 28, 1965). This does *not* mean that the Senator proposed a formal amendment to the bill which was then tabled by action of the Senate; rather, this procedure is one whereby an amendment which is being contemplated by a Senator may be printed, given a calendar number and called up at some future point for consideration. The object is to give the Senate some notice of an amendment that might be offered. It may *never* be called up, and indeed there is no evi-

the statute. The basic thrust of the Voting Rights Act is to avoid delay of any kind in the enforcement of voting rights<sup>32</sup>; the determinations under section 4(b) "consist of objective statistical determinations by the Census Bureau and a routine analysis of state statutes by the Justice Department."<sup>33</sup> It would be incongruous if we were to conclude that a procedure involving a great administrative burden and delay could be implied from a statute designed to eliminate these obstructions. Nor is it logical to think that Congress would intend to impose such a procedural encumbrance upon what are essentially mechanical determinations.

This is not to say, of course, that the Attorney General and the Director of the Census could not voluntarily grant a hearing to any affected state where they felt this could be done without defeating the purpose of the statute. Indeed, a hearing was held here. It is of course unfortunate that Texas could not be given this hearing prior to publication of the Director's determinations; but we cannot second-guess his judgment that, with national elections a year away, those determinations had to be made public at the earliest possible date. We note that the Bureau indicated it would reconsider its findings if

dence that Amendment 106 was ever offered as an amendment to the bill. Thus it appears that Amendment 106 was never actually *considered* by the Senate and no formal action (even tabling) was ever taken by the Senate upon it. Under these circumstances, such action by the Senator is no indication of Congressional intent in the legislative history of the Voting Rights Act. "An amendment submitted, ordered to lie on the table and be printed, has no parliamentary standing or status . . ." See Senate Procedure, S. Doc. No. 93-21, 93d Cong., 1st Sess. 72 (1974). All that really happened was that the Senator prepared a draft of a contemplated amendment, gave notice to the Senate of such draft, and then decided later not to offer the amendment to the bill.

<sup>32</sup> See *South Carolina v. Katzenbach*, 383 U.S. at 327-28.

<sup>33</sup> *Id.* at 333.

the state officials presented information demonstrating that the Bureau had erred<sup>34</sup>; and that at the time of the September 5 meeting, the Attorney General had not yet made his determination as to whether Texas employed a "test or device" in 1972.<sup>35</sup> Under these circumstances we believe that the State of Texas was afforded a participation in the decision-making process under the Voting Rights Act greater than the statute requires.

### III.

Appellants raise two challenges to the determinations made by the Director of the Census.<sup>36</sup> First, they claim

<sup>34</sup> The September 5 meeting between representatives of the Department of Justice and the Bureau of the Census, and appellant White, Secretary of State of Texas, was recorded by Mr. White. In a transcript read into the record by Mr. White in the proceedings below, the following statement is made by Meyer Zitter, Chief of the Population Division of the Bureau of the Census:

[M]y purpose here today is to see whether there is any materials you have that would help us decide and to make a determination is, that is, basically, the population estimates and making a determination of the per cent voting should be given from what we came up with and *there is nothing that we have put out yet that precludes us from making changes. . . .*

(App. 180, emphasis added). Zitter is also quoted as later saying:

Towards the end of the next week, even if it goes in the Register, if we find that on the basis of evidence that our arithmetic is wrong or there is a better set of data available, we are not precluded from making changes. . . .

(App. 181).

<sup>35</sup> The closing sentence of the press release which announced the Bureau's findings stated: "The Attorney General has not yet made a final determination under Title II." (Supp. App. 50).

<sup>36</sup> Two additional challenges were litigated in the district court, but not raised on appeal: 1) whether the Director of



that the Director used inaccurate data in computing the number of citizens of voting age because he failed to exclude a sufficient number of aliens from his computations. In order to make the required determination, the Bureau projected its 1970 census figures into 1972 and found a total population of voting age in Texas on November 1, 1972 of 7,655,000, less 140,657 aliens of voting age on that same date, to indicate 7,514,343 Texas citizens of voting age.<sup>37</sup> The appellants' contention is that the figure of 140,657 aliens is unreasonably low, and thus that the figure used by the Director for Texas citizens of voting age was too high.<sup>38</sup>

The main argument raised by appellants is that other statistics exist which demonstrate that the Bureau of the Census figure for aliens of voting age in Texas is patently unrealistic. To begin with, they cite Immigration and Naturalization Service [INS] figures which show that there were 263,000 *legal* aliens in Texas in 1972.<sup>39</sup>

the Census erred in counting convicted felons, persons in the state for temporary purposes (such as military personnel), and persons not mentally competent as "citizens" in determining the number of citizens of voting age in the state; and 2) whether the Director erred in using a different definition of "persons of Spanish heritage" for Texas and four other states than he used for the rest of the United States. We express no opinion on these issues.

<sup>37</sup> App. 133.

<sup>38</sup> The total number of Texas citizens of voting age is specifically relevant to both aspects of the second determination required of the Director (*see text at 4 supra*) because it directly affects the determination as to whether 50 percent or more of the citizens of voting age were registered to vote on November 1, 1972, and whether "50 percent or more of such persons [*see text at 24-37 infra*] voted in the Presidential election of November 1972."

<sup>39</sup> DEPARTMENT OF JUSTICE, ANNUAL REPORT OF THE COMMISSIONER OF THE IMMIGRATION AND NATURALIZATION SERV-

There is no indication of how many of these were of voting age. As for *illegal* aliens, numerous conflicting sources are quoted to show that a great number of them lived in Texas in 1972, far more than 140,657. INS figures are said to demonstrate that 209,912 illegal aliens were deported from Texas in 1972,<sup>40</sup> but that statistic is subject to at least three criticisms: again, there is no indication of how many of these were of voting age; nor is there any correction made for individuals who might have been deported two or more times; nor does this tell us how many were residing in the state on November 1, 1972. The Commissioner of the INS is quoted as saying that the number of illegal aliens in the state was four to five times the number being deported.<sup>41</sup> Beside the

ICE 97 (1972) [part of this document appears in the record on appeal as Plaintiffs' Exhibit 1, but the table containing the figures which appellants cite does not]. Although appellants use figures of both 263,000 (Appellants' Brief at 13) and 263,200 (Appellants' Supp. Brief at 3), the correct figure for *permanent* residents appears to be 262,715.

<sup>40</sup> In his testimony during the proceedings below, appellant White attributes this figure to the Management Analyst Officer of INS. App. 158. No citation is given. In their briefs, appellants cite to the 1972 ANNUAL REPORT [Plaintiff's Exhibit 1], *supra* note 39, as a source for this statistic. Appellants' Brief at 13; Appellants' Supp. Brief at 3. But examination of that document reveals no such figure; in fact, the 1972 ANNUAL REPORT, *supra* note 39, at 76, indicates that only 16,266 aliens were deported from the *entire* United States during the year ending June 30, 1972. [Another 450,927 aliens were "required to depart" from the entire United States during the same period. *Id.*]

<sup>41</sup> Address by Leonard F. Chapman, Jr., Commissioner of the United States Immigration and Naturalization Service, before the Maryland Chiefs of Police Assn. and the Advertising Club of Baltimore, in Baltimore, Maryland, November 13, 1974 [Plaintiffs' Exhibit 4]. Although appellants also attribute the same statement to then-Attorney General William B. Saxbe, an examination of his speech before the Cameron

three criticisms made above which are also applicable to this statistic, its vagueness makes it unsuited for the type of numerical calculation required of the Bureau of the Census here.

Next, a recent study made under contract for the Immigration and Naturalization Service is said to indicate that 2,693,600 illegal Mexican nationals went undetected in the United States in the year 1972.<sup>42</sup> Again, there is no indication of how many of these were of voting age; no specification of how many resided in Texas; no means of ascertaining how many were there on November 1, 1972; and no consideration of how many *non*-Mexican aliens might have been in Texas illegally at that time. Moreover, it is to be noted that these are *estimates*,<sup>43</sup> whereas the census figures which they would replace are *projections* from an actual count.<sup>44</sup> Presumably

County and Hidalgo County Bar Associations in Brownsville, Texas, October 30, 1974 [Plaintiffs' Exhibit 5] shows that that statistic was not mentioned.

<sup>42</sup> LESKO ASSOCIATES, FINAL REPORT: BASIC DATA AND GUIDANCE REQUIRED TO IMPLEMENT A MAJOR ILLEGAL ALIEN STUDY DURING FISCAL YEAR 1976 (October 15, 1975) (prepared for the Office of Planning and Evaluation, United States Immigration and Naturalization Service) [Appendix to Appellants' Supplemental Brief]. Its publication date indicates that this information was not available to the Director prior to the time he made his determinations.

<sup>43</sup> Obviously, *undetected* aliens cannot have been counted. See *Id.* at 12 for a description of the methodology by which the estimates were calculated.

<sup>44</sup> This is not to say that the Bureau of the Census has been able to count every illegal alien in Texas; many of these people would naturally be afraid to participate in the census-taking. But the figures with which the number of aliens of voting age in Texas would be used [the total population of voting age in Texas on November 1, 1972; the number of registered voters on that same date; and the number of actual voters in the national election held several days there-

the aliens who are not counted by the census would not be included in the *total* Texas population figures, either; but if statistics from two or more sources are used, aliens absent from one figure might be included in another, thus magnifying the inaccuracies.

Finally, appellants intermix "cautious estimates" from all these sources to arrive at a "more-than-reasonable" figure for the total number of aliens in Texas [with no regard, apparently, to their age].<sup>45</sup> The efforts by appellants to correct the source figures to meet some of the criticisms made above—*e.g.*, that certain statistics do not take into account the possibility that some aliens might have been deported more than once—only compound the uncertainties in the final figure.

What we have intended to demonstrate by this discussion is not that appellants have failed to cast some doubt upon the reliability (in an absolute sense) of the figures used by the Director of the Census; rather, our point is that however weak the census figures are thought to be, the appellants have been able to offer none better. Their figures are necessarily amalgams of estimates and hypotheses because there are no statistics available which provide what are assuredly completely accurate answers to the questions raised by section 4(b) of the Voting Rights Act. That being so, it is clearly the prerogative of Congress to specify one particular source for all the figures to be used, to insure quick availability and consistency.<sup>46</sup>

after] in making the required determinations are all figures derived from actual counts. For better or worse, the Congress made a decision to use Bureau of Census figures (with all their shortcomings) exclusively, rather than to mix figures from different sources. See note 46 *infra*.

<sup>45</sup> Appellants' Supp. Brief at 4-5.

<sup>46</sup> The legislative history of the Voting Rights Act plainly indicates that Congress has made this choice. During the



Having determined that the Bureau of the Census properly looked only to its own statistics for the data needed by the Director to make his determinations, we can go no farther. Under the standard of review which

debates on the 1965 Act, it was recognized that one weakness in the use of a mathematical trigger was the fact that it could be manipulated so as to include a particular area under the Act:

[A] great deal depends upon whose source figures you consult. Under some source figures, New York County comes under the bill—under others it does not.

The problem of figures is most complex. Whose figures do you use? And how correct are they? And who is to decide? We have the census figures which are supposedly correct since they are a nose count. But whose nose should you count for the purposes of this bill? The census is designed to enumerate the people—and that means all the people, including citizens, aliens, military personnel, and the dependents of the latter.

111 CONG. REC. 10859 (May 18, 1965). As is evident from the above-quoted passage, concern was expressed about the reliability of census figures in particular. *See also Hearings on Voting Rights Before the Senate Judiciary Comm.*, 89th Cong., 1st Sess., part 1, at 598 (1965) [hereinafter cited as *Senate Hearings*] (exchange between Sen. Ervin and A. Ross Eckler, Acting Director of the Census). But during the Senate hearings, the use of Civil Rights Commission figures was discussed and apparently rejected because of the limitations of those statistics. *See Senate Hearings, supra* at 596. On the other hand, supporters of the bill such as Sen. Tydings concluded that census figures, though not perfect, were the "best available":

The population and voting statistics which the Director of the Census will determine under section 4(b) will be based on the most authoritative and reliable information available.

That is the reason why we wrote the section we did in committee. That is the reason why the bill reads as it does. The pending amendment [which would have deleted language similar to that now found in 42 U.S.C. § 1973b(b) (1970) which makes the Director's determina-

we have previously approved," the court has narrow jurisdiction to consider the correctness of the Director's interpretation of the statute, but not to review his computations. We find that the trial court correctly held "that there has been proper consideration given to the status of aliens in making the computations and that the Director is reasonable in relying on data derived from answers to Census questionnaires." <sup>48</sup> It was right for the district court to consider the sources of the Director's data to

tions unreviewable] would [would] open the door for extensive litigation, questioning the Census Bureau's findings and statistics, which are the best available to us at this time in this country.

111 CONG. REC. 11470 (May 25, 1965). This view was perhaps best expressed by Sen. Mansfield, who stated:

Inasmuch as we appropriate money every so often to the Census Bureau for the taking of the census, I believe we must have some faith in the credibility of the Census Bureau. If not, we are wasting money in maintaining that division of the Government.

111 CONG. REC. 8298 (April 22, 1965). As for the specific allegation that appellants make here—that census figures do not correctly count the number of illegal aliens in Texas—it was specifically recognized during debate on the 1975 Amendments that the Census Bureau does not count illegal aliens. 121 CONG. REC. H4889 (daily ed. June 4, 1975) (statement of Cong. Badillo).

<sup>49</sup> This is not to say, of course, that the Director of the Census cannot take figures from other sources into account in making his determinations under section 4(b). *See Hearings on Voting Rights Before Subcomm. No. 5 of the House Judiciary Comm.*, 89th Cong., 1st Sess., ser. 2, at 333 (1965) [hereinafter cited as *House Hearings*]. The discretion to do so, however, lies solely with the Director and his choice of sources is not reviewable. 42 U.S.C. § 1973b(b) (1970).

<sup>48</sup> See text at 7-12 *supra*.

<sup>49</sup> App. 224.

the extent that this was necessary to ascertain whether he accurately interpreted his duty under the statute, but no further. Once the district court had concluded that the Director had correctly read the statute to allow him, in his discretion, to rely only on census data, it had exhausted its jurisdiction to review the Director's determinations and properly refused to consider the computations themselves. We, likewise, are without authority to consider the substance of those findings.

The second challenge made to the Director's determinations also falls within our narrow subject matter jurisdiction: appellants contend that the Director did not correctly apply that portion of section 4(b) which requires him to determine

that less than 50 per centum of the persons of voting age were registered on November 1, 1972,

or

that less than 50 per centum of *such persons* voted in the presidential election of November 1972.<sup>40</sup>

The Director's interpretation of that passage, which was approved by the lower court, is that "such persons" means "voting age citizens." Thus, in order for the Act to apply, the Director would have to determine either that (1) less than 50 percent of the voting age citizens were registered, or (2) that less than 50 percent of the voting age citizens voted.

Appellants contend that such an interpretation would make the first clause superfluous, since the percentage of people voting could never be greater than the percentage of people registered, absent illegal voting by unregistered

<sup>40</sup> 42 U.S.C.A. § 1973(b) (1976 Supp.) (emphasis added). See also text at 4 *supra*.

voters.<sup>40</sup> The correct construction, they argue, is to define "such persons" as meaning "registered voters," thereby requiring the Director to find that either (1) less than 50 percent of the voting age citizens were registered, or (2) that less than 50 percent of those registered had voted, in order for the Act to apply.<sup>41</sup>

★ At the outset, appellants would seem to have the better argument. It is a rule of statutory construction that legislative enactments be so construed as to give effect to all parts.<sup>42</sup> However, it is also true that a construction of a statute that creates a result contrary to the

<sup>40</sup> Thus, it would never be necessary to determine whether less than 50 percent of the voting age citizens were registered, because (1) if less than 50 percent of the voting age citizens voted, there would be no need to determine whether less than 50 percent were registered; and (2) if greater than 50 percent of the voting age citizens voted, then of necessity greater than 50 percent of the voting age citizens must have been registered.

<sup>41</sup> Appellants do put forward a second construction which they argue, alternatively, should be preferred over the one adopted by the Director of the Census. Under this interpretation, that portion of the trigger clause which requires the Director to determine the percent of the voting age population which had registered to vote would apply only in states where voters are registered; the second portion of the trigger clause, requiring the Director to determine the percent of the voting age population that voted, would apply only in states that do not register voters. Appellants, however, do not quote a single instance of support for this construction in the debates on the Voting Rights Act, and our reading of the entire legislative history reveals none. Moreover, that same history is quite clear on the correct interpretation of this clause. See text at 28-36 *infra*. Thus we also reject this suggested construction.

<sup>42</sup> United States v. Menasche, 348 U.S. 528, 538-39 (1955); 2A C. D. SANDS, SUTHERLAND STATUTORY CONSTRUCTION § 46.06 (4th ed. 1973).



apparent intent of the legislature should not prevail.<sup>33</sup> In this regard it is noteworthy that if appellants' construction had been used when the Voting Rights Act of 1965 was first applied, many states that the Act was admittedly aimed at would not have been covered.<sup>34</sup> A

<sup>33</sup> 2A C. D. SANDS, *supra* note 52, at § 46.07. See also *United States v. American Trucking Assn.*, 310 U.S. 534, 542 (1940).

<sup>34</sup> The trigger provisions of the 1975 Amendments were carried over from the 1965 Act. In upholding the 1965 Act in *South Carolina v. Katzenbach*, the Supreme Court found:

Congress began work with reliable evidence of actual voting discrimination in a great majority of the States and political subdivisions affected by the new remedies of the Act. The formula eventually evolved to describe these areas was relevant to the problem of voting discrimination, and Congress was therefore entitled to infer a significant danger of the evil in the few remaining States and political subdivisions covered by § 4(b) of the Act.

\* \* \*

To be specific, the new remedies of the Act are imposed on three States—Alabama, Louisiana, and Mississippi—in which federal courts have repeatedly found substantial voting discrimination.<sup>35</sup> Section 4(b) of the Act also embraces two other States—Georgia and South Carolina—plus large portions of a third State—North Carolina—for which there was more fragmentary evidence of recent voting discrimination mainly adduced by the Justice Department and the Civil Rights Commission.<sup>36</sup> All of these areas were appropriately subjected to the new remedies.

<sup>35</sup> House Report 12; Senate Report 9-10.

<sup>36</sup> Georgia: House Hearings 160-176; Senate Hearings 1182-1184, 1237, 1253, 1300-1301, 1336-1345. North Carolina: Senate Hearings 27-28, 39, 246-248. South Carolina: House Hearings 114-116, 196-201; Senate Hearings 1353-1354.

383 U.S. 301, 329-30 (1966). It is thus clear that Congress intended Georgia, Louisiana, and South Carolina (among others) to be covered by the 1965 Act. Yet under the appel-

close look at the legislative history of the Voting Rights Act of 1965, from which the trigger clause was carried over without substantial change,<sup>37</sup> indicates that the Director's interpretation of this clause is indeed the correct one.

*lants' construction of the trigger clause, those states would not have been covered.* In Georgia in 1964, 63.4 percent of the voting age population registered, 68.2 percent of the registered voters voted, but only 43.3 percent of the voting age population voted; in Louisiana in 1964, 63.5 percent of the voting age population registered, 74.6 percent of the registered voters voted, but only 47.3 percent of the voting age population voted; in South Carolina in 1964, 58.0 percent of the voting age population registered, 67.9 percent of the registered voters voted, but only 39.4 percent of the voting age population voted. App. 127. Similarly, the Congress clearly contemplated that Texas would be covered by the 1975 Amendments. See S. REP. NO. 94-295; 94th Cong., 1st Sess. 24-32 (1975); H.R. REP. NO. 94-196, 94th Cong., 1st Sess. 16-22 (1975). Under appellants' construction of this same trigger clause, Texas would not be covered by the 1975 Amendments. See Appellants' Brief at 15.

<sup>37</sup> We sought to maintain precisely the same structure that presently exists in the act, and that is the reason that in title II the trigger mechanism that is retained is identical to the mechanism in the 1965 act. That is the principle that the jurisdictions to be covered will be those where less than 50 percent of the persons of voting age were registered to vote or actually voted.

121 CONG. REC. H4737 (daily ed. June 2, 1975) [statement of Cong. Badillo, a sponsor of the 1975 Amendments and member of the House Judiciary Committee which considered the bill, during floor debate on H.R. 6219, 94th Cong., 1st Sess. (1975), which was enacted as the 1975 Voting Rights Act Amendments, Pub. L. 94-73, 89 Stat. 400 (1975)]. In the only change of substance, the phrase "the Director of the Census determines that less than 50 per centum of the persons of voting age residing therein were registered" which appears in the 1965 Act was amended to substitute "citizens" for "persons." See 121 CONG. REC. H4884-93 (daily ed. June 4, 1975).

The Voting Rights Act of 1965 was conceived of by the Johnson Administration after other efforts to eliminate discrimination in voter registration had proved unsuccessful.<sup>56</sup> As introduced, the Administration's draft bill contained a trigger clause very similar to one which appears in the final 1965 Act and in the 1975 Amendments:

SEC. 3. (a) No person shall be denied the right to vote in any Federal, State, or local election because of his failure to comply with any test or device, in any State or in any political subdivision of a State which (1) the Attorney General determines maintained on November 1, 1964, any test or device as a qualification for voting, and with respect to which (2) the Director of the Census determines that less than 50 per centum of the persons of voting age residing therein were registered on November 1, 1964, or that less than 50 per centum of such persons voted in the presidential election of November 1964.<sup>57</sup>

<sup>56</sup> See *Senate Hearings*, *supra* note 46, at 8-14 (statement of Attorney General Katzenbach).

<sup>57</sup> See *Senate Hearings*, *supra* note 46, at 1 (S. 1564); *House Hearings*, *supra* note 46, at 862 (H.R. 6400). Virtually the same language appears as section 4(b) of the final 1965 Act:

(b) The provisions of subsection (a) shall apply in any State or in any political subdivision of a state which (1) the Attorney General determines maintained on November 1, 1964, any test or device, and with respect to which (2) the Director of the Census determines that less than 50 per centum of the persons of voting age residing therein were registered on November 1, 1964, or that less than 50 per centum of such persons voted in the presidential election of November 1964.

79 Stat. 438 (1965). This language reoccurs with only minor changes as section 202 of the 1975 Amendments:

SEC. 202. Section 4(b) of the Voting Rights Act of 1965 is amended by adding at the end of the first para-

During the Senate hearing on this bill, Senator Edward M. Kennedy questioned Attorney General Katzenbach, representing the Administration, about the meaning of "such persons":

Senator KENNEDY. Touching on an area where there might be some ambiguity, section 3(a)(2) states that the Director of Census is to determine "that less than 50 percentum of the persons of voting age residing therein were registered on November 1, 1964, or that less than 50 percentum of such persons voted in the presidential election of November 1964." Pertaining to the last clause of that language . . . does the term "such persons," refer to people in the State who were registered to vote, or people in the State of voting age?

Attorney General KATZENBACH. Persons in the State of voting age residing therein, Senator, not those registered. Presumably, normally, people have to be registered to vote. But the reference here would be simply to persons residing therein of voting age.<sup>58</sup>

This interpretation was confirmed several days thereafter, in a colloquy between Senator Ervin and A. Ross Eckler, Acting Director of the Census, about Eckler's interpretation of the trigger clause:

graph thereof the following: "On and after August 6, 1975, in addition to any State or political subdivision of a State determined to be subject to subsection (a) pursuant to the previous two sentences, the provisions of subsection (a) shall apply in any State or any political subdivision of a State which (i) the Attorney General determines maintained on November 1, 1972, any test or device, and with respect to which (ii) the Director of the Census determines that less than 50 per centum of the citizens of voting age were registered on November 1, 1972, or that less than 50 per centum of such persons voted in the Presidential election of November 1972".

89 Stat. 401 (1975).

<sup>58</sup> *Senate Hearings*, *supra* note 46, at 162.



Mr. ECKLER. Well, Senator Ervin, it would seem to us as we study this bill that although both registration and voting are mentioned, it is not necessary to assemble the registration figures since the registration figures would always be higher than the vote cast figures.

Senator ERVIN. Yes, sir. That is true.

Mr. ECKLER. Therefore, if a place, a political subdivision, or a State failed to qualify, failed to be under 50 percent on the voting criteria, it could not possibly qualify under 50 percent on the registration criteria. So it seems to us that it is not necessary for us to undertake the assembly of information on registration.

Senator ERVIN. And yet how are you going to make a certification? The bill provides that the Bureau of the Director of the Census must determine that less than 50 percent of the persons of voting age residing therein were registered on November 1, 1964. To comply with this law, you would have to do it, would you not?

Mr. ECKLER. I do not interpret, Senator Ervin, that we need to determine that they were—the wording of the legislation is that 50 percent were registered or voted. Now, if we determine, if we concentrate on the counties in which less than 50 percent voted, it seems to me impossible that we would miss any in which the percentage would be, of the registrants would be less than 50.

Senator ERVIN. Yes, sir, but that is not what the statute says the Director of the Census should do. It says the Director of the Census must determine whether less than 50 percent of the people of voting age residing therein were registered on November 1, 1964.

Mr. ECKLER. If I may comment, Senator Ervin, that is not the end of the sentence.

Senator ERVIN. No, but there is an "or" there. That is one of the things you have to certify and then you have to certify in an alternative proposition.

Mr. ECKLER. I suppose that this is something for the Attorney General to give advice on. But I certainly have worked on the assumption that we do not need to do both of these tasks, that the objective of the bill is to identify counties which meet a certain criteria.

Senator ERVIN. The Director of the Census has to determine two things. The first is that less than 50 percent of the persons of voting age residing in a particular State or political subdivision were registered on November 1, 1964. In other words, they want to catch them either way. If 100 percent were registered without discrimination, they want to catch a county or a State under the second section. If more than 50 percent, or as much as 50 percent were not registered, they want to catch them under the other section. It will catch them on either horn.

\* \* \*

Mr. ECKLER. [T]here could be no State which would qualify for the registration only. If it qualifies for the registration, it must, without any doubt, qualify under the voting criteria. Therefore, it seems to me that we do not need to address ourselves at all to the registration figures, that the voting figures give us completely responsive answers to our duties under this bill.

\* \* \*

Senator ERVIN. So under the interpretation you placed as to the primary objective of the bill, a State could register 100 percent of its adult population without any discrimination and still be brought under this bill if less than 50 percent of that 100 percent went out to vote?

Mr. ECKLER. That is my interpretation of the bill, Senator.

\* \* \*

Senator DIRKSEN. May I interpose?

Senator ERVIN. Yes.

Senator DIRKSEN. Mr. Eckler, of course, all that is of no concern of yours. You are not a policy-

making body. You are a statistical factfinding body and you do not have to be bothered about the two horns of this dilemma or this Texas steer. You either ascertain whether less than 50 percent registered or less than 50 percent voted. That is all you have to do. Whatever your views may be on policy would be of no concern so far as this bill is concerned, and certainly would be of no concern to the Census Bureau.

Mr. ECKLER. That is correct.

Senator ERVIN. In other words, you and the Senator from Illinois agree that the Director of the Census does not need to determine that less than 50 percent of the persons of voting age residing in an area were registered in 1964.

Mr. ECKLER. I am sorry, I did not understand the question.

Senator ERVIN. In other words, you agree with the Senator from Illinois who says that there is no need to pay attention to the provision of this bill which say the Director of the Census is to determine that less than 50 percent of the people of voting age resided in a particular State or particular subdivision registered on November 1, 1964.

Mr. ECKLER. I think my conclusion is that the phrase as a whole needs to be looked at, part 2, which has this or this, and that the criterion determined by either one is what we concern ourselves with.

Senator ERVIN. The Bureau of the Census is not prepared to make any certification at all on the first alternative, is it?

Mr. ECKLER. The first alternative, if we had to do that, we do not have the figures available. They are in some cases not available anywhere as far as I know."

At this point, Senator Ervin raised the very argument upon which appellants primarily rely:

"Id. at 599-601.

Senator ERVIN. So we might as well for all practical purposes strike that provision out of the act.

Mr. ECKLER. There may be some other provision that it serves. For the purpose of our statistics, I do not see any reason for it.

Senator ERVIN. Yes."

Several minutes later, Senator Kennedy offered a rationale for including both tests:

Senator KENNEDY. I had just one very brief question, just for clarification, on this section 3(a), which charges your responsibility, Mr. Eckler.

I can see a possibility wherein that first phrase of section 2, where it says the Director of the Census determines that less than 50 percent of the people of voting age residing therein were registered on November 1, 1964, you might have 100 people or 1,000 people and you might have 75 percent of those people which would be registered as of that date. Then that would mean that the aspect of the trigger would not work, but if less than 50 percent of those people actually voted in the presidential election, then the trigger would work. In effect, then, there is an interrelationship in this. It is certainly my feeling that legislation which is directed toward the purpose in mind of registration, such a trigger certainly makes sense and is an important aspect of this legislation.

So I can understand, at least to some extent, that it is somewhat clearer as to what the responsibilities are."

Although there is no indication that Sen. Kennedy's rationale was adopted by the committee, both determinations were still required by section 4(b) of the bill as

"Id. at 601.

"Id. at 604-05.



it was reported out of committee.<sup>62</sup> Appendix L of the Senate Report contains tables which clearly demonstrate that the number of persons voting is to be compared to the total voting age population, and not to the number of registered voters.<sup>63</sup>

During the ensuing floor debate on S. 1564, the trigger clause was given the same interpretation as it had been given in committee:

Because it seems unlikely, if not impossible, that a person could vote in the November 1964 presidential election who was not registered on November 1, 1964, for practical purposes, the criterion is that a State will have its voter qualification tests suspended if less than a fifth of the persons of the voting age were not white [see note 62 *supra*] and less than half of them voted in the 1964 presidential election.<sup>64</sup>

Although the meaning of this clause was specifically discussed at no other point during either the House or Senate debates on the 1965 Act, many senators and congressmen indicated by their references to the trigger section that they interpreted it to mean that the Director was required to determine that less than 50 percent of

<sup>62</sup> See S. REP. NO. 89-162, 89th Cong., 1st Sess. 22-23 (1965); 111 CONG. REC. 7802 (April 13, 1965) [S. 1564]. See also H.R. REP. NO. 89-439, 89th Cong., 1st Sess. 2 (1965) [H.R. 6400]. The Senate bill at this stage contained a third trigger requirement, that the Director of the Census determine whether more than 20 percent of the persons of voting age in the particular jurisdiction were nonwhite. This requirement was eliminated in conference. See H.R. REP. NO. 89-711, 89th Cong., 1st Sess. 12 (1965).

<sup>63</sup> S. REP. NO. 89-162, 89th Cong., 1st Sess. 52-53 (1965). See also *Senate Hearings*, *supra* note 46, at 80; *House Hearings*, *supra* note 46, at 29.

<sup>64</sup> 111 CONG. REC. 11079 (May 20, 1965) (statement of Sen. Eastland).

the persons of voting age had registered or voted.<sup>65</sup> An amendment that would have eliminated that language in the clause which requires the Director to determine that 50 percent of "such persons" had voted (thereby leaving

<sup>65</sup> See, e.g., statement of Cong. Adams, 111 CONG. REC. 15993 (July 8, 1965); statement of Cong. Anderson, 111 CONG. REC. 15640 (July 6, 1965); statement of Cong. Ashmore, 111 CONG. REC. 15731 (July 7, 1965); statement of Cong. Buchanan, 111 CONG. REC. 16009, 16010 (July 8, 1965); statement of Cong. Callaway, 111 CONG. REC. 15722 (July 7, 1965); statement of Cong. Celler, 111 CONG. REC. 15645, 15647 (July 6, 1965); statement of Cong. Edwards, 111 CONG. REC. 16222 (July 9, 1965); statement of Cong. Farbstein, 111 CONG. REC. 15717 (July 6, 1965); statement of Cong. Lennon, 111 CONG. REC. 16234 (July 9, 1965); statement of Cong. Martin, 111 CONG. REC. 16019 (July 8, 1965); statement of Cong. McClory, 111 CONG. REC. 15662 (July 6, 1965); statement of Cong. McGregor, 111 CONG. REC. 16017 (July 8, 1965); statement of Cong. Poff, 111 CONG. REC. 16217 (July 9, 1965); statement of Cong. Rivers, 111 CONG. REC. 16025 (July 8, 1965); statement of Cong. Rodino, 111 CONG. REC. 15660 (July 6, 1965); statement of Cong. Rogers, 111 CONG. REC. 15998 (July 8, 1965); statement of Cong. Smith, 111 CONG. REC. 15639 (July 6, 1965); statement of Cong. Selden, 111 CONG. REC. 16014 (July 8, 1965); statement of Cong. Weltner, 111 CONG. REC. 16270 (July 9, 1965); statement of Cong. Widnall, 111 CONG. REC. 16223 (July 9, 1965); statement of Cong. Willis, 111 CONG. REC. 15657 (July 6, 1965); statement of Sen. Bayh, 111 CONG. REC. 8467 (April 26, 1965); statement of Sen. Eastland, 111 CONG. REC. 11079 (May 20, 1965); statement of Sen. Ellender, 111 CONG. REC. 10744 (May 17, 1965); statements of Sen. Ervin, 111 CONG. REC. 8303 (April 22, 1965), 111 CONG. REC. 9793, 9794 (May 6, 1965); statements of Sen. Hart, 111 CONG. REC. 8301 (April 22, 1965), 111 CONG. REC. 9795 (May 6, 1965); statement of Sen. Mansfield, 111 CONG. REC. 8297 (April 22, 1965); statement of Sen. Talmadge, 111 CONG. REC. 9079 (April 30, 1965); statements of Sen. Thurmond, 111 CONG. REC. 11115 (May 20, 1965), 111 CONG. REC. 11471 (May 25, 1965). But see statement of Sen. Ellender, 111 CONG. REC. 8297 (April 22, 1965); statement of Sen. Ervin, 111 CONG. REC. 9775, 9786 (May 6, 1965); statement of Sen. Tydings, 111 CONG. REC. 11471 (May 25, 1965).

only the determination that 50 percent of the voting age population was registered) was rejected without discussion about the construction of the entire trigger clause.<sup>66</sup>

Consistent with this expressed legislative intent, both the United States Commission on Civil Rights<sup>67</sup> and the United States Supreme Court<sup>68</sup> have adopted an interpretation of the trigger clause which requires the Director of the Census to determine whether registration and voter turnout in the 1964 Presidential election was less than 50 percent of the voting age population. The legislative history of the 1975 Amendments, though containing few references to the meaning of the trigger clause, also appears to support the forgoing construction.<sup>69</sup>

<sup>66</sup> 111 CONG. REC. 11470-72 (May 25, 1965). The amendment was proposed by Sen. Ervin:

The PRESIDING OFFICER. The clerk will state the amendment.

The CHIEF CLERK. On page 6, lines 7, 8, and 9, strike out the words "or that less than 50 per centum of such persons voted in the presidential election of November 1964."

Mr. ERVIN. Mr. President, the amendment would strike from the triggering process the provision which is based upon the fact that less than 50 percent of the persons of voting age in a State or county voted in the presidential election of 1964.

*Id.* at 11470.

<sup>67</sup> UNITED STATES COMMISSION ON CIVIL RIGHTS, THE VOTING RIGHTS ACT: TEN YEARS AFTER 5 (Jan. 1975).

<sup>68</sup> [T]he Director of the Census has determined that less than 50% of [South Carolina's] voting-age residents were registered on November 1, 1964, or voted in the presidential election of November 1964.

*South Carolina v. Katzenbach*, 383 U.S. 301, 317 (1966) (emphasis added). See also *Gaston County v. United States*, 395 U.S. 285, 286 (1969).

<sup>69</sup> See, e.g., statements of Cong. Badillo, 121 CONG. REC. H4738 (daily ed. June 2, 1975), 121 CONG. REC. H4888 (daily

It is therefore clear that the interpretation given to the trigger clause by the Director of the Census is the correct one, although it is not the one that would appear at first blush from a normal reading of the language. It is, however, the construction that is clearly required by the unquestioned interpretation given the clause during its passage through the legislative process. We thus reject the second challenge to the Director's determinations also.

#### IV.

Finally, appellants challenge the determination by the Attorney General under section 4(b) that Texas maintained a "test or device"—i.e., English-only elections—on November 1, 1972. Their argument on this point asserts that section 4(d) of the amended Act modifies section 4(b). Section 4(d) provides:

For the purposes of this section no State or political subdivision shall be determined to have engaged in the use of tests or devices *for the purpose or with the effect of denying or abridging the right to vote*

ed. June 4, 1975); statement of Cong. Clay, 121 CONG. REC. H4756 (daily ed. June 2, 1975); statement of Cong. Edwards, 121 CONG. REC. H4713, H4716 (daily ed. June 2, 1975); statement of Cong. Kindness, 121 CONG. REC. H4819 (daily ed. June 3, 1975); statement of Cong. Talcott, 121 CONG. REC. H4891 (daily ed. June 4, 1975); statement of Cong. Wiggins, 121 CONG. REC. H4741 (daily ed. June 2, 1975); statement of Sen. Talmadge, 121 CONG. REC. S13357 (daily ed. July 22, 1975); statement of Sen. Thurmond, 121 CONG. REC. S13664 (daily ed. July 24, 1975). *But see* statement of Sen. Domenici, 121 CONG. REC. S13657 (daily ed. July 24, 1975); statements of Sen. Thurmond, 121 CONG. REC. S13362 (daily ed. July 22, 1975), 121 CONG. REC. S13593 (daily ed. July 24, 1975). During the debate on the 1975 Amendments, a proposal to delete the requirement that the Director of the Census determine whether less than 50 per cent of the voting age population registered to vote was defeated without discussion of the construction of the entire trigger clause. See 121 CONG. REC. H4797-4806 (daily ed. June 3, 1975).



... if (1) incidents of such use have been few in number and have been promptly and effectively corrected by State or local action, (2) the continuing effect of such incidents has been eliminated, and (3) there is no reasonable probability of their recurrence in the future.

42 U.S.C.A. § 1973b(d) (1976 Supp.) (emphasis added). The Texas claim that its election practices are not covered by the amended Act is based upon the fact that its state legislature enacted a bilingual election law which became effective on May 16, 1975,<sup>70</sup> and which has corrected the effect of English-only elections and allows a finding under section 4(d) that there is "no reasonable probability" of such English-only elections recurring in the future.

At the time of the hearing in the trial court, the Attorney General had not yet determined under section 4(b) whether Texas "maintained on November 1, 1972, any test or device," so the trial court refused to consider this issue on the ground that it was not ripe for adjudication.<sup>71</sup> However, the Attorney General has subsequently made that determination,<sup>72</sup> and to require a new lawsuit to adjudicate its validity would work a great hardship on the parties and constitute a waste of judicial energy.<sup>73</sup> Because we view this issue as purely one of law, we believe that it can be decided on appeal without the benefit of any further record.<sup>74</sup>

<sup>70</sup> Tex. Acts 1975, 64th Leg., page 511, ch. 213, § 1, codified as 9 TEX. CIV. STAT. ANN. § 1.08a (1976 Supp.).

<sup>71</sup> App. 224.

<sup>72</sup> See note 18 *supra*.

<sup>73</sup> See Toilet Goods Assn. v. Gardner, 387 U.S. 158, 162 (1967).

<sup>74</sup> United States v. Jones, — U.S.App.D.C. —, —, 527 F.2d 817, 819 (1975).

Appellants err in their contention that section 4(d) applies to the determinations made by the Attorney General under section 4(b). The plain language of section 4(d) makes it applicable to determinations as to whether a state "engaged in the use of tests or devices *for the purpose or with the effect* of denying or abridging the right to vote."<sup>75</sup> Section 4(d) goes on to state three tests which, if proved in a lawsuit, will support a finding that the state has not used tests or devices in the prohibited manner. The above-quoted language has reference to the wording of section 4(a), which provides that in order for a state to *terminate* its coverage under the Voting Rights Act, the state must bring an action in the United States District Court for the District of Columbia and secure a declaration by that court "that no such test or device has been used during the ten years preceding the filing of the action *for the purpose or with the effect* of denying or abridging the right to vote."<sup>76</sup> In the entire Voting Rights Act, as amended, *only* section 4(a) requires a determination that a state has not used tests or devices "for the purpose or with the effect" of denying or abridging the right to vote. Therefore, it is only in a *judicial* termination proceeding under that section that section 4(d) has any application or becomes relevant. *South Carolina v. Katzenbach*, 383 U.S. 301, 332 (1966). The same point is buttressed by H.R. REP. No. 89-439, 89th Cong., 1st Sess. 26 (1965), which states:

[Subsection 4(d)] clarifies the burden of proof required of a State or political subdivision *to resume use of tests or devices in those situations where resumption would not be precluded because of subsection 4(a)*. This subsection provides that a State or political subdivision, not barred from relief under

<sup>75</sup> 42 U.S.C.A. § 1973b(d) (1976 Supp.).

<sup>76</sup> *Id.* at § 1973b(a).

the proviso to subsection 4(a), shall not be determined to have engaged in the use of tests or devices for the purpose or with the effect of denying or abridging the right to vote on account of race or color if [the jurisdiction can prove that the three subparts of § 4(d) apply]. A promise not to violate the law would not meet the test of this subsection.

(Emphasis added.)

Thus, because section 4(b) only requires the Attorney General to determine whether any test or device was being *maintained* on a certain date in the past, and does not provide for him to make the further determination that the test or device was used "for the purpose or with the effect of denying or abridging the right to vote," section 4(d) is by its terms inapplicable to his action under section 4(b). The standard which section 4(d) sets out is only compatible with the action the court is required to take in a lawsuit under section 4(a). If Texas believes that it is entitled to be excluded from coverage under the Voting Rights Act because of compliance with section 4(d), it must bring the required action in the District Court for the District of Columbia, which has the exclusive power to determine compliance with that section's standard for termination.

We accordingly find appellants' argument on this point unpersuasive.

#### CONCLUSION

In summary, insofar as we have jurisdiction to consider the matter we find that the Attorney General and the Director of the Census have acted properly in carrying out their mandate under the 1975 Amendments to the Voting Rights Act, and we affirm the district court in its grant of summary judgment to the appellee-defendants.

*Judgment accordingly.*

#### APPENDIX B



PROCEEDINGS

\*\*\*\*\*

THE COURT: Because of the time factors involved in the case we heard this morning, Dolph Briscoe, et al., v. Edward H. Levi, et al., I have decided, as I indicated to counsel, that I should present an oral ruling. I don't intend, because I am dealing with it orally, to suggest in any way that the issues are not issues of consequence.

This case was filed in this Court House on September 8, 1975 and first came to the Court's attention on an application for a temporary restraining order, at which time, following discussion in chambers, the matter was set down for the proceedings we have had this morning.

The case has come to this Court because it is acting as the Motions Judge for the month of September and Judge Corcoran, who drew the case in the random assignment, is unavailable.

Plaintiffs, the Governor and Secretary of State of the State of Texas, brought this action because of their desire to enjoin, pending reexamination by the Court, two determinations which the parties understood were about to be announced by the Bureau of Census in accordance with the Bureau's obligations under the Voting Rights Act of 1965, as amended, particularly the recent amendments relating to bilingual aspects of state and Federal elections.

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It appeared to the Court that the first publication which was scheduled under Title III, the determination and publication scheduled for Tuesday of this week, should go forward and the notion for temporary restraining order as to that determination which concerned the number of Spanish-American individuals in certain counties of Texas should be denied.

The principal area of concern, of which that first publication was just a part, is the publication in the Federal Register, I believe scheduled for Monday of next week, which the Census Bureau contemplates making dealing, under Title II, with the State of Texas as a whole.

Injunctions, either preliminarily, temporarily or permanently against the publication of that determination next Monday, are sought by the Plaintiffs. The Government Defendants have responded by motion to dismiss; and the Court having invoked Rule 12, with consent of the parties at the outset, the Government submits, in the alternative to its motion, a prayer for summary judgment.

The issues are in one respect narrow in that this is a single-judge Court and there is no question as to the constitutionality of the statute presented or indeed attempted to be presented in these proceedings:

The first determination which the Court must make as to these new amendments, however, is a determination novel in the sense that these matters -- because of the recency of the

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amendments, last August, I believe -- have not as yet come before any other Court and the matters presented are of first impression.

Initially the question is raised as to the Court's jurisdiction to hear the complaint and hear the parties. The statute, in pertinent part, as amended, here before me contains the following section:

"A determination or certification of the Attorney General or of the Director of the Census under this Section or under Section 6 or Section 13 shall not be reviewable in any court and shall be effective upon publication in the Federal Register."

The Government Defendants contend that that provision deprives the Court of any jurisdiction in the present controversy. The Court does not agree. We have here a pure legal question of the interpretation of certain provisions in this new amendment. A Federal question is raised involving the interpretation of the Act. The Court does not read the provision referred to as an absolute bar to examination by a Federal judge when a party properly concerned challenges the interpretation by the Executive of a congressional statute.

This issue in various forms has been presented in other litigation. I would cite *United States v. California Eastern Line*, in 348, U.S., and *Switchmen's Union*, in 320 U.S., as



examples where the courts, barring an explicit direction not to accept jurisdiction under any circumstance, have at least to a degree felt obligated as part of their duty to make such inquiry as is necessary to ascertain whether or not the Executive, in carrying out an Act of Congress, has proceeded in accordance with the congressional directive.

There is a case or controversy presented. The Secretary of State of the State of Texas and the other Plaintiff have indicated that the effect of the publication contemplated may involve expenditure of up to \$10,000,000. Issues of consequence to the administration of the voting soon in prospect in Texas in connection with a constitutional referendum on November 4 certainly give the State standing and create a genuine case or controversy which the Court feels it has jurisdiction to explore, both because of the nature of the Federal question and exercising the authority presented to the Court in the declaratory judgment statute.

This is not a review of the computations made by the Director of Census following publication but is an examination prior to publication inquiring into whether or not the Bureau of Census or the Director of Census has properly applied the Act.

The test that the Court feels must be applied in this circumstance under the narrow jurisdiction which I have indicated is here present is to determine whether or not the

interpretation given by the Director of Census to the statute is rational and whether it is or is not in the large consistent with the declared congressional purpose and the legislative history.

It will not, the Court believes, serve any purpose to discuss at length a number of the questions which are presented in this regard but I do want to touch upon each of them briefly so that the Court's reasons are clear.

The first claim that is made is that the Director of Census must grant the Plaintiffs a hearing before making his determination.

There is no provision for a hearing in the statute. The possibility of requiring a hearing was considered by the Congress and declined. The Administrative Procedures Act in no way affords a hearing under these circumstances; and the Court holds that Plaintiffs are not entitled to a hearing before the Director of Census prior to his determination.

While there apparently was some failure in full communication between the parties, it should be noted that the Director of the Census has considered and been receptive to certain materials which were submitted by the Plaintiffs during the process of the determination about to be announced.

The next question presented is really a series of questions which involve interpretation of the statute. The Court is of the view that in each instance before the Court the

determination by the Director of Census is rational, consistent with the purposes and meaning of the statute and consistent with the legislative history.

The Court is of the view that there has been proper consideration given to the status of aliens in making the computations and that the Director is reasonable in relying on data derived from answers to Census questionnaires.

It seems to the Court that he has properly interpreted the phrase, "citizens of voting age," in 4(h) and has permissibly included in that figure such groups as felons, military personnel and dependents, students and mental incompetents.

The Court is of the view that the Director has properly construed the phrase, "fifty per cent of such persons," and has reasonably determined that the Voting Rights Act, as amended, is applicable, among other things, if fifty per cent of the citizens of voting age residing in the jurisdiction didn't vote in the Presidential Election of November 1972.

Those, I believe, are the principal matters.

The question of whether or not the Director can rely on 1972 data seems to the Court to go to a constitutional issue rather than anything else and has not been considered.

While there has been some discussion of a determination by the Attorney General, the Court sees nothing in the record that indicates the Attorney General at this stage has made any determination.

Now the Court has reached this conclusion in part from an overview of the statute as a whole and, of course, has been substantially persuaded by the decision of the Supreme Court in *North Carolina v. Katzenbach*, in 383 U. S. 301, where wholly comparable if not identical matters were considered and approved by the Court.

The statutory scheme is one that is designed to avoid judicial delay at the preliminary stages of a voting problem. It is broadly designed by Congress to create a scheme that will trigger further inquiry and shift the burden from the United States to the state whose statistics have been determined to bring the Act into play.

There are protections in the Act. The Attorney General must bring enforcement proceedings where defenses are available. There is also under certain circumstances available remedies under the so-called bail-out provisions of the statute. But the first step is a step that a court cannot review except as I have here done to determine that the Director of Census has not acted in an arbitrary or illegal manner but rather that he has proceeded in a rational manner, consistent with the apparent meaning of the statute and that his interpretations of it at this stage must be sustained.

Accordingly, on the merits, the Court will grant the Defendants' motion for summary judgment and the complaint is dismissed.



I think I should, however, proceed a bit further -- in the event the Court in some fashion has erred -- and deal briefly with the question of whether or not this case presents any possible basis for preliminary relief by way of temporary restraining order or preliminary injunction.

I have already indicated why I feel there is no substantial possibility of success. It is apparent that the public interest is obviously served by protection of voting rights and not by protecting against possible expenditures by the state.

The facts as before the Court do not demonstrate any determination under the statute will change as the result of these interpretative questions. As a practical matter, the arithmetic, even if the interpretations desired by the Plaintiffs were brought into play, does not appear on the face of things at least to give any promise of changing the result triggered by the publication next Monday.

It is also to be noted in that connection that the Director of the Census has reserved the right to change his determination in some particular if he reaches the conclusion he should do so. The Department of Justice, as the legislative history intimated, has indicated a willingness to discuss interim procedures to alleviate if possible the full force of the statute against the State of Texas during the interim period between this publication and the announcement and carrying out of the vote on the 4th of November.

Under all these circumstances, I see no prospect of success and no reason to feel that preliminary relief of any kind is necessary. So that as an alternative to the dismissal of the complaint, the Court is denying any temporary restraining order or preliminary injunction as sought by the Plaintiffs here.

Now, I don't know, gentlemen, whether there is any desire on the part of the Plaintiffs to have this matter reviewed this afternoon upstairs in the Court of Appeals before the Motions Panel there. I am going to be available all afternoon. That would require some brief order of some form which can be submitted to me and I will cooperate in any way that I can -- if there are any time factors in that regard -- to assist in that process.

The proceedings have been interesting and the Court appreciates the assistance of both sides.

If there is nothing further, that concludes these proceedings.

MR. ODAN: May I be heard, Your Honor?

Your Honor, in light of the statement by the Court that the publication is to be on next Monday --

THE COURT: That is what I understood. Is that the case?

MR. LANDSBERG: No, Your Honor.

THE COURT: I was told you were going to delay it a

APPENDIX C



United States Court Of Appeals  
FOR THE DISTRICT OF COLUMBIA CIRCUIT

No. 75-1903

September Term, 19 75  
Civil Action 75-1464

Dolph Briscoe, Governor of the  
State of Texas, et al., Appellants

United States Court of Appeals  
for the District of Columbia Circuit

v.

FILED APR 19 1976

Edward H. Levi, United States Attorney  
General, et al

GEORGE A. FISHER  
CLERK

Appeal from the United States District Court for the District of Columbia.

Before: Mr. Justice Clark,\* of the Supreme Court of the United States,  
Robinson and MacKinnon, Circuit Judges

JUDGMENT

~~This cause~~ came on to be heard on the record on appeal from the United States  
District Court for the District of Columbia, and was argued by counsel.

~~On consideration thereof~~ It is ordered and adjudged by this Court that the  
judgment-----of the District Court appealed from in this cause is hereby  
affirmed, in accordance with the opinion of this Court filed herein this date.

Per Curiam  
For the Court

*George A. Fisher*  
George A. Fisher  
Clerk

Date: April 19, 1976

Opinion for the Court filed by Circuit Judge MacKinnon.

\*Sitting by designation pursuant to 28 U.S.C. § 294(a)

APPENDIX D





State of Texas  
OFFICE OF THE SECRETARY OF STATE  
Capitol Station, Austin Texas 78711

Mark White  
Secretary of State

MEMORANDUM

TO: LONNY ZWIENER, ATTORNEY GENERAL'S OFFICE --  
FROM: KEVIN REYNOLDS, ELECTIONS DIVISION  
RE: V.R.A. SUITS IN TEXAS 1975-76  
DATE: JULY 13, 1976

Flowers v. Wiley (Sherman) - 575-103CA.  
final order January 5, 1976. Fed. Dist. Ct. at Tyler,  
Judge William Wayne Justice.

Leroy, et al. v. City of Houston, et al. - 75H-1731CA.  
still pending. Fed. Dist. Ct. at Houston,  
Judge Allen B. Hannay.

Silva v. Fitch (San Antonio) - 765A-126CA.  
final order entered nunc pro tunc June 28. Fed. Dist.  
Ct. at San Antonio, Judge D. W. Suttle.

Rodriguez v. White (Austin) - A75-88CA.  
on appeal, Judge Jack Roberts

Arlington, et al. v. Lindsay, et al. (Houston) - 75H-1722CA.  
Order entered October 17, 1975, Fed. Dist. Ct at Houston,  
Judge Carl Bue, Jr.

Martinez v. Becker (San Antonio) - 573-315CA.  
V.R.A. not plead in yet, but is anticipated - order  
has been entered granting a joint motion to stay the  
proceedings, Fed. Dist. Ct. at San Antonio,  
Judge D. W. Suttle.

Lonny Zwiener  
Memorandum  
Page -2-

Dorothy Lee, et al. v. Judge Billy Williamson, et al.  
(Tyler) - TY76-49CA. set for trial September 8,  
Fed. Dist. Ct. at Tyler, Judge William M. Steger.

Raza Unida v. Texas (Austin) - filing fee case,  
Judge Jack Roberts

APPENDIX E



# ***Texas holds record for cases filed under Voting Rights Act***

By BECKY BROWN

Capitol Staff

Texas now holds the national record for the number of objections filed in a single year by the U.S. Justice Department under the federal Voting Rights Act.

Twenty-three times since the act went into effect in September, the Justice Department has ruled that certain proposed election law changes in the state and political subdivisions would discriminate against blacks and Mexican-Americans.

The 23 objections (two were later withdrawn, leaving 21 standing) in nine months top "runner-up" Louisiana, which in 1971 drew 19 objections from the Justice Department.

But the dubious honor can be attributed to the state's size and backlog of election law changes, according to House Elections Committee staff director Brian Graham.

The number of objections is not extremely high when compared to the number of submissions from Texas, said Graham.

"It's got to be put in perspective," he said. "You can't say we're any more evil than anyone else."

Under the Voting Rights Act, which was extended to cover Texas last fall despite vehement objection from many of the state's top officials, all changes in election laws must be reviewed by the Justice Department.

Objection to a change by the department is tantamount to a veto.

All changes, even in precinct lines, must be reviewed. So far, seven of the objections were to submissions from Texas cities and 10 were to submissions from school districts.

Justice Department objections to changes in state law have included a bill requiring all voters to reregister, a measure requiring a political party to receive 20 per cent of the vote in the last gubernatorial election in order to hold primary elections, and portions of the single-member legislative redistricting bill.

Graham, who is preparing a survey of the impact of VRA on Texas governmental entities, said there is speculation that Texas, before the year is out, may hold the record on the number of objections returned to any state since the passage of the original act in 1965.

"It's been generally said that (inclusion of) Texas alone doubled the Justice Department's jurisdiction," he said.

Georgia has had the most objections (37) entered against any single state between 1965 and 1975.

Graham is asking all political subdivisions in the state to respond to questions about the impact of the Voting Rights Act.

"The effect we're worried about, and I think it's a valid worry, is whether this is going to freeze everything," said Graham.